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| **1. PROJECT DESCRIPTION AND LOCATION** |
| **District** | **County** | **Route** | **Post Mile(s)** | **EA** | **E-FIS Project Number** | **Phase** |
|  |  |  |  |  |  |  |
| The studies for this project were carried out in a manner consistent with Caltrans’ regulatory responsibilities under the California Environmental Quality Act (CEQA) and Public Resources Code 5024 and pursuant to the January 2015 *Memorandum of Understanding Between the California Department of Transportation and the California State Historic Preservation Office Regarding Compliance with Public Resources Code Section 5024 and Governor’s Executive Order W-26-92, addended 2019* (5024 MOU) as applicable. |
| **Project Description**: |
| (Insert **BRIEF** summary of project description, approximately 1-2 paragraphs here; refer reader to full project description, location and vicinity maps in attachments. Delete this instruction line.)  |
| **2. PROJECT AREA LIMITS** |

The Project Area Limits (PAL) for the project were established in consultation with [Name of Caltrans PQS and PQS discipline/level], and [Name of project manager/Right of Way agent], Project Manager/Right of Way agent, on [date] and as applicable in accordance with PRC 5024 MOU Attachment 3. The PAL maps are located in [specify technical study, figure or exhibit number].

The PAL was established as [add brief description as to where and how boundaries were set].

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| **3. Consulting Parties / PUBLIC PARTICIPATION** |
| (Check all that apply. List organizations and/or persons, dates, and locations and results of contacts. Summarize verbal comments received and attach correspondence as appropriate. Reference appendix that contains consultation logs. Delete statements below that are not applicable and this instruction line.) |
| [ ]  | Local Government (Head of local government, Preservation Office / Planning Department)  |
|  |  |
| [ ]  | Native American Heritage Commission |
|  |  |
| [ ]  | Native American Tribes, Groups and Individuals |
|  |  |
| [ ]  | Local Historical Society / Historic Preservation Group (also if applicable, city archives, etc.) |
|  |  |
| [ ]  | Public Information Meetings (list locations and dates below and attach copies of notices) |
|  |  |
| [x]  | Other  |
|  |  |
| **4. SUMMARY OF IDENTIFICATION EFFORTS** |

(Check all that apply. Delete statements below that are not applicable and this instruction line.)

|  |  |  |  |
| --- | --- | --- | --- |
| [ ]  | National Register of Historic Places (NRHP) | [ ]  | California Points of Historical Interest |
| [ ]  | California Register of Historical Resources (CRHR) | [ ]  | California Historical Resources Information System (CHRIS) |
| [ ]  | National Historic Landmark (NHL) | [ ]  | Caltrans Historic Highway Bridge Inventory |
| [ ]  | California Historical Landmarks (CHL) | [ ]  | Caltrans Cultural Resources Database (CCRD) |
| [ ]  | Other Sources consulted: |  |  |
|  |  |
| [ ]  | Results: (Provide a summary of research result and inventory findings.) |
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| **5. STATE-OWNED HISTORICAL RESOURCES IDENTIFIED** |
| (Check all that apply. List properties, or refer to appropriate technical study attached. State whether they are on the Master List of Historical Resources. Do not include properties that are outside the PAL. Attach previous SHPO determinations, as applicable. Delete statements below that are not applicable and this instruction line.) |
| [ ]  | No state-owned cultural resources are present within the PAL.  |
| [ ]  | [Name of Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff (PQS) Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has determined that the only/only other resources present within the PAL meet the criteria for PRC 5024 MOU Stipulation VIII.C.1 and Attachment 4 (**State-owned Cultural Resources Exempt from Evaluation**) as Resource Type 1.  |
|  |  |
| [ ]  | [Name of Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff (PQS) Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has determined that the only/only other resources present within the PAL meet the criteria for PRC 5024 MOU Stipulation VIII.C.1 and Attachment 4 (**State-owned Cultural Resources Exempt from Evaluation**) as Resource Types 2-7. (list address/location below.). |
|  |  |
| [ ]  | Caltrans, in accordance with PRC 5024 MOU Stipulation VIII.C.5 has determined there are cultural resources within the PAL that were **previously determined not eligible** for inclusion in the NRHP and/or not eligible for registration as a CHL and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List resources below.) |
|  | [ ]  | Bridges listed as **Category 5** (not eligible) in the Caltrans Historic Bridge Inventory are present within the PAL and those determinations remain valid. (List bridge numbers below; attach applicable pages from the Caltrans Historic Bridge Inventory.) |
| [ ]  | Caltrans has determined there are cultural resources within the PAL that were evaluated as a result of this project and are **not eligible** for inclusion in the NRHP/CHL. Under PRC 5024 MOU Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. (List resources below.) |
|  |  |
| [ ]  | The following archaeological sites within the PAL are **considered eligible** for inclusion in the NRHP and/or as CHLs for the purposes of this project only because they will be protected from any potential effects through the establishment of an Environmentally Sensitive Area (ESA), in accordance with PRC 5024 MOU Stipulation VIII.C.3. See attached documentation.  |
|  |  |
| [ ]  | The following cultural resources within the PAL are **considered eligible** for inclusion in the NRHP and/or CHLs for the purposes of this project only because evaluation was not possible, in accordance with PRC 5024 MOU Stipulation VIII.C.4. (Requires written CSO approval prior to completion of the HRCR. List resources below; attach CSO correspondence.)  |
|  |  |
| [ ]  | Caltrans, in accordance with PRC 5024 MOU Stipulation VIII.C.5 has determined there are cultural resources within the PAL that were **previously determined eligible** for or are listed in the NRHP/as CHLs and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List resources below; include date of listing or determination.)  |
|  |  |
| [ ]  | Caltrans has determined there are resources within the PAL that were evaluated as a result of this project and are **eligible** for inclusion in the NRHP/as CHLs. Under PRC 5024 MOU Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. [Include the following statement if applicable; delete if not:] Pursuant to PRC 5024(d), Caltrans also requests that SHPO add these resources to the Master List of Historical Resources. (List resources below and whether they need to be added to the Master List of Historical Resources.) |
|  |  |
| **6. STATE-OWNED HISTORICAL RESOURCES FINDING**  |
| (Check **only one** overall finding for the project. Identify whether resources are on the Master List of Historical Resources. Delete all findings that are not applicable and this instruction line.) |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation IX.A.2, has determined a Finding of **No State-owned Historical Resources Affected** is appropriate for this undertaking because there are no State-owned historical resources within the PAL **/** the following State-owned historical resources will not be affected. (Choose one or the other of the above statements. If there are previously listed/eligible State-owned Historical Resource(s) within the PAL, identify them and explain why they would not be affected.)  |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation IX.B has determined that there are State-owned historical resources within the PAL that may be affected by the project. **Effects are still undetermined** and in accordance with PRC 5024 MOU Stipulation X, Caltrans will continue consultation with CSO and/or SHPO in the future on the assessment of effects.  |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation X.B.1.a/b and Attachment 5, has determined a **Finding of No Adverse Effect with Standard Conditions-**[select one orboth: ESA and/or SOIS] is appropriate for this undertaking, and requests CSO’s approval of this finding. [Name], who meets the PQS Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate. (Include ESA and/or SOIS Action Plan as attachment.) |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation X.B.1.c, has determined a **Finding of No Adverse Effect with Standard Conditions-CLG** is appropriate for this undertaking, and requests CSO’s approval of this finding. [Name], who meets the PQS Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate. (Include any applicable document as attachment.) |
| [ ]  | Caltrans, pursuant to 5024 MOU Stipulation X.B.2.a, has determined a **Finding of No** **Adverse Effect** (without Standard Conditions) is appropriate for this project, and requests CSO’s approval of this finding. (Include FOE as attachment.) |
| [ ]  | Caltrans, pursuant to 5024 MOU Stipulation X.B.2.c, has determined a Finding of No Adverse Effect (without Standard Conditions) is appropriate for this project, and requests SHPO’s concurrence in this finding. (Include FOE as attachment.) |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation X.C.1, has determined a **Finding of Adverse Effect and Mitigation through use of Standard Mitigation Measures** is appropriate for this undertaking, and requests CSO’s approval of this finding. (Include FOE and mitigation plan as attachment.) |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation X.C.2.a, has determined a **Finding of Adverse Effect** is appropriate for this undertaking, and requests CSO’s approval of this finding. (Include FOE with proposed mitigation measures as attachment.) |
| [ ]  | Caltrans, pursuant to PRC 5024 MOU Stipulation X.C.2.b, has determined a **Finding of Adverse Effect** is appropriate for this undertaking, and SHPO’s concurrence in this finding. (Include FOE with proposed mitigation measures as attachment.) |
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| **7. CEQA CONSIDERATIONS** |
| (The CEQA significance findings as well as avoidance and minimization measures should be included in the Environmental Document, not the HRCR. Consultation with SHPO is not required under CEQA. Delete statements that are not applicable and this instruction line.)  |
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| [ ]  | Not applicable; Caltrans is not the lead agency under CEQA. |
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| [ ]  | Caltrans PQS has determined that there are No Historical Resources within the PAL.  |
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| [ ]  | Caltrans PQS has determined the following resources are not significant resources under CEQA: (List resources below and indicate if State-owned.) |
|  |  |
| [ ]  | Caltrans PQS has determined that there are resources in the PAL that do NOT meet NRHP criteria, but ARE historical resources for the purposes of CEQA. (List the resources and how they were determined a CEQA resource.) |
|  |  |
| [ ]  | The following are historical resources for the purposes of CEQA. (List resources below and indicate if State-owned and whether they are on the Master List of Historical Resources.) |
|  |  |

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| **8. LIST OF ATTACHED DOCUMENTATION** |
| (Provide the author/date of the technical report. Note number or letter of corresponding attachment. Delete documentation that is not applicable and this instruction line.) |
| [ ]  | Project Vicinity, Location, and PAL Maps (Note which attachment(s) contain the maps – do not need to attach separately if included within other attachment.) |
| [ ]  | Caltrans Historic Bridge Inventory Sheet  |
| [ ]  | Historical Resources Evaluation Report (HRER) |
|  |  |
| [ ]  | Archaeological Survey Report (ASR) |
|  |  |
| [ ]  | Extended Phase One Report (XPI)  |
|  |  |
| [ ]  | Archaeological Evaluation Report (AER, PII) |
|  |  |
| [ ]  | Environmentally Sensitive Area (ESA) Action Plan |
|  |  |
| [ ]  | Secretary of the Interior’s Standards for the Treatment of Historic Properties (SOIS) Action Plan |
|  |  |
| [ ]  | CSO Approval of Assumption of Eligibility |
|  |  |
| [ ]  | Finding of Effect (FOE)  |
|  |  |
| [ ]  | Mitigation Plan |
|  |  |
| [ ]  | Other (Specify below) |
| **9. HRCR PREPARATION AND CALTRANS APPROVAL** |

Prepared by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

District \_\_\_ Caltrans PQS [Level and discipline] Date

Prepared by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Consultant / Discipline: Date

Appropriate PQS discipline equivalent

[Firm/company and location]

Reviewed for Approval by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

District \_\_\_ Caltrans PQS [Level and discipline] Date

Approved by: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

District \_\_\_ EBC [Environmental Branch Name] Date