

Exhibit 4.4: Minimal Recordation for Certain Exempted State-owned Resources

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Background

Under PRC 5024(b), Caltrans is required to inventory all state-owned structures over 50 years old under its jurisdiction that are listed in or eligible for the National Register of Historic Places (NRHP) or that are registered for or eligible for registration as California Historical Landmarks (CHLs), and inventory Caltrans-owned structures in our right-of-way before approval of any project that may alter their original or significant features or fabric or that involve transferring, relocating or demolishing the structures. In addition, under PRC 5024(e), Caltrans is required to submit annual inventory updates to the State Historic Preservation Officer (SHPO).

Under Stipulation VIII.B of the PRC 5024 MOU (5024 MOU)¹, Caltrans makes a good faith effort to identify Caltrans-owned resources that may be affected by a project and evaluates them when warranted to determine NRHP/CHL eligibility. In accordance with 5024 MOU and the Section 106 Programmatic Agreement (106 PA)² Stipulation VIII.C.1 and Attachment 4, certain categories of resources with minimal potential to meet the NRHP/CHL eligibility criteria may be exempted from evaluation by Caltrans Professionally Qualified Staff (PQS) pursuant to Attachment 1 of the 5024 MOU and 106 PA or consultants who meet the Caltrans Professional Qualifications Standards described in Attachment 1.

In order to comply with Caltrans' PRC 5024(b) responsibilities, some exempted resource types require minimal recordation, as described below.

Exempted Resource Types Requiring Recordation

Minimal recordation is required for Caltrans-owned built-environment resource types 3 through 7 exempted in accordance with Attachment 4 of the 5024 MOU/106 PA:

¹ 2015 Memorandum of Understanding between the California Department of Transportation and the California State Historic Preservation Officer Regarding Compliance with Public Resources Code Section 5024 and Governor's Executive Order W-26-92, addended 2019.

² First Amended Programmatic Agreement Among the Federal Highway Administration, the Advisory Council on Historic Preservation, the California State Historic Preservation Officer, and the California Department of Transportation Regarding Compliance with Section 106 of the National Historic Preservation Act as it Pertains to the Administration of the Federal-Aid Highway Program in California, effective January 1, 2014.

- Resource Type 3: Caltrans-owned buildings, structures, objects, districts, and sites so altered as to appear less than 30 years old
- Resource Type 4: Caltrans-owned buildings, structures, objects, districts, and sites 30 to 50 years old
- Resource Type 5: Caltrans-owned buildings, structures, and objects moved within the past 50 years
- Resource Type 6: altered Caltrans-owned buildings, structures, objects, districts, and sites whose alterations appear to be more than 30 years old
- Resource Type 7: Caltrans-owned Post-World War II builders' houses and housing tracts

Recordation

Record exempted Caltrans-owned resource types 3 through 7 on a DPR 523 Primary Record form that is scanned into the Caltrans Cultural Resources Database (CCRD)³. Alternately, the form can be electronically created by using data in the CCRD. Enter the following information into the CCRD, which results in a DPR 523 Primary Record when printed:

- Resource name
- County
- Caltrans' County/Route/Postmile or address and city
- Description:
 - State, "Exempt: PRC 5024 MOU Attachment 4 Resource Type ____." Add the resource type(s), such as "maintenance building." No other information needed in this field.
- Construction date(s) age (actual or estimated)
- Owner
 - State "Caltrans" and the district where resource is located. No other information needed in this field.
- Person who recorded the resource
- Date resource was recorded

³ This is an electronic inventory of architectural and archeological cultural resources that provides a connection to cultural resources along right-of-ways. Because it stores crucial and confidential cultural resources information its use is restricted to use by Caltrans cultural resource staff.

Consultants without access to CCRD must complete a DPR 523 Primary Record and provide an electronic copy to the Caltrans District cultural resources staff to input into CCRD in addition to any other required copies and documents that may be required.

The Primary Record is the only DPR 523 form needed. When the project or activity is the transfer of a resource out of Caltrans ownership, recordation on a DPR 523 form is not required.

Once the record is included in the CCRD, that resource is considered to have been inventoried. New or additional DPR 523 forms do not need to be completed for later project/activities involving this resource unless PQS or a qualified consultant determines that it warrants updating or evaluation.

The addresses or locations of Caltrans-owned exempted resource types 3 through 7 should be noted in the Historic Resources Evaluation Report (HRCR) or Historic Property Survey Report (HPSR). Exempted resources of any type that are not owned by Caltrans do not need to be listed in the HRCR or HPSR.

Sample Minimal Recordation on DPR 523 Primary Record

The following is an example of an abbreviated DPR 523 for exempted resource types 3 through 7.

Exempted Resource Type 6 Recordation Example

(Required text is highlighted in yellow.)

State of California — The Resources Agency	Primary # _____
DEPARTMENT OF PARKS AND RECREATION	HRI # _____
PRIMARY RECORD	Trinomial _____
	NRHP Status Code: _____
	Other Listings _____
Review Code _____	Reviewer _____
	Date _____

*Resource Name or #: **District 99 Equipment Shop** Caltrans Map Reference No.: _____

P1. Other Identifier: _____

*P2. Location: _____ Not for Publication _____ Unrestricted

*a. County **Sawyer** County/Route/Postmile: _____

*b. USGS 7.5' Quad: _____ Date _____ T _____; R _____ of _____ of Sec _____; _____ B.M.

c. Address **1627 W. Main St.** City **Beale Heights** Zip _____

d. UTM:: Zone _____, _____ mE/ _____ mN

*e. Other Locational Data (APN #, etc.) _____

*P3a. Description: (Briefly describe resource below)

Exempt: PRC 5024 MOU Attachment 4 Resource Type 6

Resources Present is optional but aids future researchers who can conduct a query by resource type.

*P3b. Resource Attributes: _____

**P4. Resources Present: _____ Building _____ Structure _____ Object _____ Site _____ District _____
 _____ Elements of District _____ Other _____

P5a. Photograph or Drawing (Photograph required for buildings, structures, and objects.)



P5b. Description of Photo:

*P6. Date Constructed/Age: **1938**
 Historic _____ Prehistoric _____ Both _____

*P7. Owner and Address: **Caltrans, District 99**

*P8. Recorded by: **Anuva Gupta, D99 PQ5**

*P9. Date Recorded: **01/07/15**

*P10. Type of Survey: _____ Intensive
 _____ Reconnaissance _____ Other
 Describe: _____

*P11. Report Citation: _____

*Attachments: NONE _____ Map Sheet _____ Continuation Sheet _____ Building, Structure and Object Record
 _____ Linear Resource Record _____ Archaeological Record _____ District Record _____ Milling Station Record _____ Rock Art Record
 _____ Artifact Record _____ Photograph Record _____ Other (List): _____

See [Office of Historic Preservation Recording Historical Resources](#) for instructions.