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# Advanced Highway Maintenance and Construction Technology Research Center

Department of Mechanical and Aerospace Engineering
University of California at Davis

Enhancement & Field Evaluation of an Advanced Clearance Measurement System for Highway Structures, Including Generation of Clearance Diagrams

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## **Abstract**

This report presents enhancements to STRUCTVIEW, a vehicle-based system for the measurement of roadway structure profles. This second-generation system uses a scanning laser rangefinder to measure various structure and roadway features from a vehicle traveling at highway speed. Measurement capabilities include horizontal and vertical clearances, which can be used to support issuing permits based on vehicle height. The clearance dimensions extracted from STRUCTVIEW sensor data can be exported, e.g. using eXtensible Markup Language (XML), for generation of clearance diagrams.

 $Enhancement\ \&\ Field\ Evaluation\ of\ an\ Advanced\ Clearance\ Measurement\ System\ for\ Highway\ Structures...$ 

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## Disclaimer/Disclosure

The research reported herein was performed as part of the Advanced Highway Maintenance & Construction Technology (AHMCT) Research Center, within the Department of Mechanical and Aerospace Engineering at the University of California Davis, and the Division of Research and Innovation at the California Department of Transportation. It is evolutionary and voluntary. It is a cooperative venture of local, State and Federal governments and universities.

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# Acronyms and Abbreviations

Acronyms used within this document are defined below.

**AASHTO** American Association of State and Highway Transportation Officials

**AHMCT** Advanced Highway Maintenance & Construction Technology

**API** Application Programming Interface

**ASCII** American Standard Code for Information Interchange

**BDI** Bridge Diagnostics, Incorporated

**C/A** coarse acquisition

**California** Department of Transportation

**CDOT** Colorado DOT

**CEP** Circular Error Probable

Connecticut DOT

**CPU** Central Processing Unit

**DC** Direct Current

**DOT** Department of Transportation

**DRI** Division of Research and Innovation

**EIA** Electronic Industries Alliance

**FEA** Finite Element Analysis

**FHWA** Federal Highway Administration

**FOH** frst-order hold

**GIS** Geographic Information System

**GNU**'s Not Unix

**GPS** Global Positioning System

**HDOP** Horizontal Dilution of Precision

**HMI** Human-Machine Interface

**HSIF** high-speed interface

**HTTP** HyperText Transfer Protocol

**JPEG** Joint Photographic Experts Group

**LiDAR** Light Detection And Ranging

NDOR Nebraska Department of Roads

NMEA-0183 National Marine Electronics Association GPS communications standard

**OBD-II** On-Board Diagnostics

**PCI** Peripheral Component Interconnect

**PVT** position, velocity, and time

**RSA** returned signal amplitude

**RS-232** EIA serial communications standard

**SDK** Software Development Kit

**USB** Universal Serial Bus

**USDOT** U.S. Department of Transportation

**WAAS** Wide Area Augmentation System

**WGS-84** World Geodetic System 84

**XML** eXtensible Markup Language

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# Chapter 1

## Introduction

Accurate data on the vertical clearances of roadway structures is critically important in oversize route planning and to the nation's economy [1]. Horizontal clearance measurements must also be maintained for security and military purposes [20, 16]. Collecting this data can be hazardous, can cause congestion, and is currently performed by engineers working on or adjacent to the roadway. These work crews are subject to significant traffc hazards, and must obtain measurements during available traffc breaks, which can introduce errors. In addition, from a system-wide perspective, clearance information is in many cases incomplete, inaccurate, diffcult to maintain, and diffcult to access. Finally, maintaining clearance databases often involves several potentially erroneous manual steps between acquiring the data and updating the database. This report presents STRUCTVIEW, a vehicle-based system for the measurement of roadway structure profles. The sensing system uses a scanning laser rangefnder to measure various structure and roadway features while traveling at highway speed. Measurement capabilities include horizontal and vertical clearances as specifed by American Association of State and Highway Transportation Officials (AASHTO) guidelines [1] and Federal requirements, which can be used to support issuing permits based on vehicle height. STRUCTVIEW addresses safety hazards by removing the workers from the roadway and addresses communication issues by reducing the number of manual steps involved in updating the database.

The U.S. Department of Transportation (USDOT) Federal Highway Administration (FHWA) Saxton Highway Electronics Laboratory performed pioneering research on the application of laser sensing for structure profling in 1997 [10]. The system provided a one-dimensional (1D) slice of the structure profle from a vehicle-mounted system, at highway speed, using a single-point laser and a wheel-speed sensor integrated to provide longitudinal distance. Vertical sensing accuracy was very high (0.254 cm, 0.1 in); however, it appears that the system did not have a provision for minimizing or accounting for vehicle bounce, so overall accuracy could be degraded in practice. This prototype system was subsequently tested by the Connecticut DOT (ConnDOT) [17]. In ConnDOT's testing, the prototype structure clearance measurement system was mounted on their existing photolog vehicles, so that clearance data could be obtained during an existing Department

of Transportation (DOT) operation. Such combinations, done properly, can introduce significant effciencies into transportation operations and maintenance—in addition, there can be synergies with regard to available sensors and other supporting in-vehicle infrastructure. One advantage of the 1D approach is that a high sampling rate can be used (up to 500 Hz in the ConnDOT tests), resulting in excellent longitudinal resolution (for ConnDOT, down to 4.47 cm (1.76 in) at 22 m/s (50 mi/hr)), more than suffcient to detect most overhead structural features, with the possible exception of some thinner signs.

A similar commercial system, available from Bridge Diagnostics, Incorporated (BDI), www.bridgetest.com), is discussed by Mystkowski and Schulz [19]. BDI's system, which was feld-tested by the Colorado DOT (CDOT), measures vehicle bounce using an ultrasonic range sensor, thus providing true clearance over the lane stripe. In the cited CDOT testing, due to low sampling rates, vehicle speed was limited to 8 km/hr (5 mi/hr)—at this speed, a rolling lane closure using an attenuator truck (a.k.a. a shadow vehicle) is required. Subsequent versions of the BDI system (currently the ClearanceMaster 3000<sup>TM</sup>) support measurement at highway speed, with a laser sensor sampling rate of 10 kHz, and seven data points per inch at 34 m/s (75 mi/hr). For all 1D systems, multiple passes must be made through the structure to determine approximate minimum clearance. In addition, by nature they cannot provide horizontal clearance information—essential for national defense and homeland security purposes [20, 16]. As noted at the end of this chapter, newer commercial systems are able to provide horizontal as well as vertical clearance information.

Fixed three-dimensional (3D) scanners are seeing increased use in transportation applications. Closely related to STRUCTVIEW, the Nebraska Department of Roads (NDOR) conducted a pilot study using fxed 3D scanning (with a Riegl LMS-Z360) from roadside locations to develop full point-cloud models and determine clearances for 400 structures [25]. Noteworthy, NDOR has also developed automated Internet-based permitting and routing based on their clearance data. The 3D scanner approach is a strong candidate for this and many other applications. For the structure clearance application, one drawback in some situations is that this approach will require multiple scan locations near the structure to develop the full 3D model needed, and it is often diffcult to establish enough safe scanning locations protected from traffc and other hazards; however, the scan locations should still be safer than the current practice of measuring directly on the lanes with or without a lane closure. In addition, to register (or correlate) the multiple scans, it is usually necessary to place known targets (e.g. high-refectivity spheres) in the scene at locations that will be visible from all planned scan locations, although this requirement is becoming less of an issue as 3D scanning technology evolves. The method does provide very high accuracy (the NDOR study verifed vertical clearance accuracies to approximately 3.2 mm (1/8 inch)), and a full 3D model of the structure (thus supporting both vertical and horizontal clearance determination) – such a model will have uses beyond clearance determination, e.g. structure visualization. Jaselskis et al. [14] provides an excellent overview of transportation applications for 3D scanning, including a pilot study performed for the Iowa DOT using a Cyrax 2500 scanning unit. Optech Incorporated (www.optech.ca) applied their ILRIS-3D scanner and InnovMetric's PolyWorks<sup>TM</sup> software in a pilot study for the Florida DOT to investigate the effectiveness of 3D scanning for bridge defection analysis [22]. Boehler and Marbs [3] overview 3D laser scanning instruments, while Boehler *et al.* [4] overview 3D scanning software. Boehler *et al.* [5] present a detailed study of laser scanner accuracy; the results of their study, those of Jaselskis *et al.* [14], and our experimental results all indicate that fxed or mobile 3D laser scanning is an ideal candidate for the structure profle application.

Several companies offer software for visualization and manipulation of data obtained using 3D laser scanners. While there are several categories, including scanner control, surface model generation, and data and project management [4], the category of greatest relevance to the current system is point cloud treatment. Point cloud processing software includes, for example (all TM or c of their respective owners), PolyWorks from Innov-Metric Software [12], Cyclone CloudWorx from Leica Geosystems [18], and RealWorks Survey from Trimble [26]. Point cloud software typically performs the following tasks: visualization, data cleaning in single point clouds, data fltering and point thinning, registration, data cleaning in registered point clouds, point thinning after registration, and generation of simple plots derived from point clouds [4].

In this report we present the second phase research and development for enhancement of an alternate approach to the measurement of roadway structure profles, STRUCTVIEW, which matches the speed, safety, and cost of the current 1D solutions, yet produces a 3D model that can be used to measure features that previously required the use of a stationary 3D scanner or the deployment of a survey crew onto the roadway. STRUCTVIEW operation is at highway speed, eliminating the need for road closures and the associated congestion. This system was developed by the Advanced Highway Maintenance & Construction Technology (AHMCT) Research Center to meet the needs of the California Department of Transportation (Caltrans), particularly their Structures Maintenance engineers who are responsible for obtaining structure clearance data, and Traffc Operations, which uses structure profle data for permitting and route planning for vehicles with oversize loads. First phase research and development is documented in [27].

The current approach of clearance measurement from a moving platform using scanning lasers has been validated by commercial developments during the course of this research. Several companies have introduced mobile 3D laser scanning systems (mobile LiDAR), and some are specifically focused on highway infrastructure applications. These systems use an approach similar to that of the prototype developed in this research. The commercial systems tend to integrate very high-end 3D laser scanners and inertial measurement units, with associated high cost vs. our research prototype. However, the performance of the commercial units is excellent, costs are expected to decrease, and the commercial systems typically can address multiple highway infrastructure applications depending on confguration, e.g. roadside feature inventory. Many of the commercial LiDAR systems are well-suited for bridge clearance measurement. AHMCT will be evaluating these commercial systems as part of a separate research project.

# Chapter 2

# The STRUCTVIEW Concept



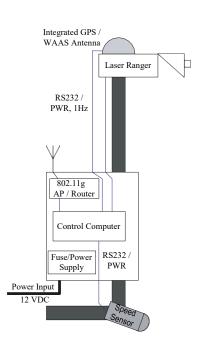


Figure 2.1: The profle sensing system and its block diagram.

The STRUCTVIEW sensing system, shown in Figure 2.1, is a self-contained unit which attaches to the rear of the host vehicle via standard trailer-mount receptacle and power—STRUCTVIEW is highly portable among vehicles of different types, supporting sharing among DOT districts, with resultant cost benefts. Operation is at highway speed and is controlled wirelessly from within the vehicle with a laptop computer. The sensing system employs a laser rangefinding unit with a coaxial spinning mirror oriented to continuously sweep the beam in the plane normal to the vehicle's axis of travel. With the vehicle in motion, this results in a helical scan pattern with screw axis (z) aligned along the roadway. 3D fxes for objects in the beam path are acquired in a cylindrical coordinate system (r,  $\theta$ , z) (see Figure 2.2 and Figure 2.3 on the next page) with data obtained from three primary sensors. Data from the laser rangefinder is used to determine radial

distance r, angular data from a rotary encoder fxed to the shaft of the spinning mirror is used to determine polar angle  $\theta$ , and vehicle speed v from a ground-speed radar sensor is integrated to calculate z. Structview also collects geospatial coordinates of sampled structures using a differential Global Positioning System (GPS) receiver, and, optionally, captures a series of photographs of each structure via a dash-mounted digital camera connected to the Human-Machine Interface (HMI) laptop. The system assumes there is no vehicle pitch or roll (small angles will introduce minimal errors). As Structview uses a local relative measurement technique, vehicle bounce (along y) and lateral offsets (along x) will not significantly affect the profle data; however, these factors will infuence the resulting 3D point cloud, and this must be considered in any subsequent use of this point cloud for measurements not targeted by Structview.

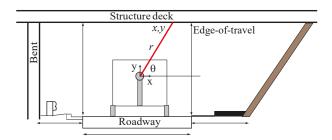


Figure 2.2: STRUCTVIEW scan geometry of a simple structure as viewed from behind. Sample STRUCTVIEW profle dimensions are indicated.

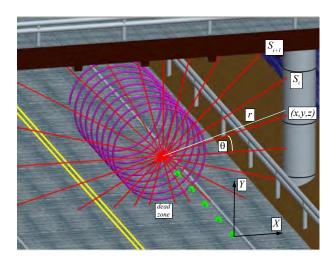


Figure 2.3: STRUCTVIEW scan geometry of a simple structure. The helical nature of the scan data is shown by the purple helix, and the scan axis is indicated by the green arrows. The sample spacing (from sample  $S_i$  to  $S_{i+1}$ ) is exaggerated in the illustration.

In use, the vehicle is driven to sample a series of structures, with the raw data collected on the HMI laptop. At the end of the sampling run, the laptop is taken back to the offce where the data is processed, and profle measurements are interactively extracted from each of the sampling sessions. An example clearance diagram is shown in Figure 2.4 on the facing page.

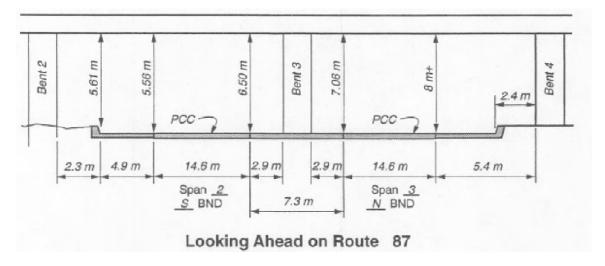


Figure 2.4: Sample Caltrans roadway clearance diagram [6].

STRUCTVIEW is a multi-rate sampled-data system [2], with high-rate sampling for the laser rangefinder and the encoder (frequency  $f_l = f_e = 50$  kHz, period  $T_l = T_e = 20 \mu s$ ), and low-rate sampling for the ground-speed radar ( $f_v = 10$  Hz,  $T_v = 100$  ms) and the GPS ( $f_g = 1$  Hz,  $T_g = 1$  s). The sampled-data signals, denoted for example as  $r^*(t)$ , are the impulse series signals corresponding to the continuous signals, e.g. r(t), at time  $kT_i$  (i = l, e, v, g), so that, for example,

$$r^*(t) = \sum_{k=0}^{\infty} r(kT_l)\delta(t - kT_l)$$
 (2.1)

For the low-rate data (GPS and ground-speed radar), a non-causal frst-order hold (FOH) is used to linearly interpolate between samples. For example, the low-rate radar ground-speed data is interpolated in this manner to obtain the longitudinal dimension, *z*, as

$$z(t) = -\sum_{t_0}^{t} v(\tau)d\tau, \text{ or } z^*(kT_l) = -T_l \text{ FOH}(v^*(iT_l))$$
(2.2)

Here,  $t_0$  is the starting time for each scan (i.e., the integration is reset for each scan to remove error accumulation), FOH() denotes the non-causal frst-order hold operation, and v is obtained from the ground-speed sensor based on equation (3.1). Based on the Cartesian frame used (see Figure 2.3 on the preceding page), z will always be negative.

The system maintains both cylindrical and Cartesian coordinates. To complete the localized Cartesian coordinates, data is converted from the natural polar coordinates of the laser rangefinder and encoder (see Figure 2.2 on the facing page) at rate  $f_i$  as

$$(x^*(t), y^*(t)) = r^*(t)(\cos \theta^*(t), \sin \theta^*(t))$$
(2.3)

# Chapter 3

## STRUCTVIEW Hardware

Figure 2.1 on page 5 provides an overview of the second-generation prototype system core hardware components, which will be discussed in detail in this chapter. This figure shows the STRUCTVIEW prototype hardware mounted on a research vehicle, requiring only a standard 2-inch trailer mount receptacle and connection to the vehicle 12-volt power via standard trailer power connector, so that STRUCTVIEW is highly portable among vehicles of different types. Depending on the type of trailer power connector on the vehicle, a different trailer power connection cable with corresponding standard trailer power connector may be needed. In addition, the second-generation prototype system features a unique wedge mount design. After inserting the mount into the vehicle's trailer hitch receiver, the user will tighten a  $^1_{\mathcal{I}}$  inch nut, thereby activating a wedge mechanism and securing the mount frmly inside the hitch receiver. Furthermore, two  $^1_{\mathcal{I}}$  -inch bolts are inserted and tightened through the trailer pin-hole to ensure a rigid and strong connection between the system and the vehicle. The system design includes a detachable wheeled cart for easier transport.

As system portability is an important constraint, the weight of the system was further minimized in the second-generation design (system shown in Figure 3.1 on the following page). However, structural rigidity was important, based on the sensing accuracy requirements. Finite Element Analysis (FEA) was used to optimize the weight vs. rigidity. The detailed FEA study is not included here. In particular, now that STRUCTVIEW prototype component selection is fnal, improvements were achieved by reducing the electronics enclosure and components size and weight. As a result, the second-generation system electronics enclosure size (Figure 3.2 on page 11) is reduced by half, and the total system weight is reduced by 40%. The new system weight is about 35-pounds. The power consumption was also reduced by employing new lower-power computer, GPS receiver, and wireless router.



Figure 3.1: The second-generation profle sensing system.

#### 3.1 Laser Rangefnding Unit

The scanning laser rangefinder is the primary STRUCTVIEW sensor; the prototype uses a factory-modifed version of the Acuity Research (Schmitt Measurement Systems, Inc.) AR4000-LV, a time-of-fight rangefinder based on a 5 mW 670 nm wavelength visible red laser diode [24]. This Class IIIa sensor provides range r(t) and returned signal amplitude (RSA) a(t) in the 0 - 16.5 m (0 - 54 ft) range. RSA is important as it is used within STRUCTVIEW in the identification of retrorefective paint stripes, and thus for horizontal dimension extraction; it also plays a significant role in STRUCTVIEW's noise fltering. For a general overview of laser range sensors and accuracy characterization, see works by Boehler  $et\ al.\ [4,3,5]$ .

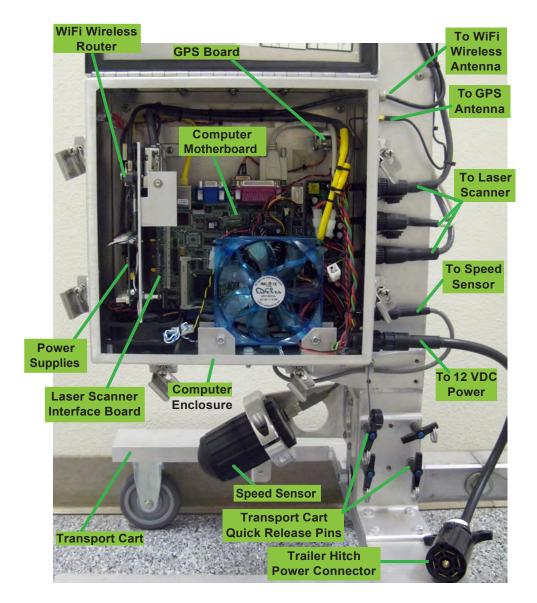


Figure 3.2: The second-generation profle sensing system computer enclosure.

#### 3.2 Coaxial Spinning Mirror with Encoder

The AR4000-LV, combined with Acuity's AccuRange Line Scanner and Peripheral Component Interconnect (PCI) high-speed interface (HSIF) card (all <sup>TM</sup> Schmitt Measurement Systems, Inc.), provides a laser scanner (see Figure 3.3) with circular sweep (provided by a spinning mirror) at  $\omega$  = 272 rad/s (2600 RPM, 43.3 Hz, 23.1 ms per sweep), with angular position provided by an integrated 4096-count optical encoder (1.534 mrad/count). With laser sweep rate  $\omega$  and forward vehicle velocity v, the longitudinal resolution (along the road) for the integrated system is given by  $\rho_z$  =  $2\pi v/\omega$ . As integrated for the structure scanning operation, this sweep rate combined with forward velocity v = 24.6 m/s (55 mi/hr) yields  $\rho$  = 0.57 m (1.9 ft). The combined sensing package provides target range r, RSA  $\alpha$ , and laser beam angle  $\theta$ , at sampling rate  $f_l$  = 50 kHz. The line scanner mount-

ing blocks the signal, creating a dead zone of 1.05 rad (60°) directly below the laser with the off-the-shelf mount. STRUCTVIEW uses a modifed mount to reduce the dead zone to approximately 0.44 rad (25°). With the sensor mounting height of approximately 1.4 m (4.5 ft), this yields about 0.6 m (2.0 ft) of blocked pavement laterally, varying of course with mount height and vehicle bounce. The mount location was selected to place this dead zone near the center of the driving lane, so that it will not impact STRUCTVIEW operation and output, based on system requirements (height above lane stripes) and operating mode. The laser scanner data, combined with the integrated vehicle velocity, yields a 3D point cloud of the structure and roadway, including RSA, while also retaining the intrinsic helical scan structure exploited in the subsequent processing.



Figure 3.3: The scanning laser rangefnder.

#### 3.3 Radar Speed Sensor

Generally speaking, a vehicle's ground speed and distance traveled can be provided via its built-in sensors, GPS, or other means. Use of built-in vehicle sensors, e.g. via the On-Board Diagnostics (OBD-II) connector, introduces uncertainty in terms of accuracy and calibration, and was not pursued here in order to maximize portability between vehicle types. GPS is also not an appropriate choice for the primary speed sensor in the application, as the satellite signals are blocked while passing through structures. However, structures are typically short (except for tunnels) and speed is generally constant during the scanning process, so simple interpolation of GPS-obtained speed before entering and after leaving the structure can provide a fair estimate of speed through the structure, allowing GPS to act as a redundant speed sensor. Future work may include more advanced sensor integration via Kalman fltering.

Based on the application requirements and the limitations of built-in speed sensors and GPS, a Doppler radar sensor, the Decatur SI-2, was selected as STRUCTVIEW's primary speed sensor as shown in Figure 3.2 on the preceding page. This sensor operates in the K-band ( $f_0 = 24.150 \,\text{GHz} \pm 50 \,\text{MHz}$ ), with 0.21 rad (12°) beam width, and 10 mW nominal output power [8]. Sensor range is 3 - 320 km/hr (2 - 200 mi/hr), with accuracy of  $\pm 0.1 \,\text{km/hr}$ . Doppler shift is approximately  $f_d = 45 \,\text{Hz/(km/hr)}$ , or 72 Hz/(mi/hr). The fundamental relation for speed from Doppler shift is [11]

$$v_2(t) = -\frac{c}{2\cos\theta_{ss}} \frac{f_0(t)}{f_0}$$
(3.1)

where c is the speed of light,  $\theta_{ss}$  is the angle between the horizontal (road) plane and the sensor centerline, and the negative sign is specifc to the sensor mounting and choice of z-axis (opposite of travel direction). The SI-2 configuration includes input of  $\theta_{ss}$ , and the RS-232 interface provides the derived speed in American Standard Code for Information Interchange (ASCII) format. Sensor power (+12 V) is provided through the EIA serial communications standard (RS-232) DB9 connector.

#### 3.4 **GPS**

STRUCTVIEW uses a GPS receiver [9] for structure identification and coordination with the DOT's Geographic Information System (GIS) database. The previous prototype employed a NovAtel Smart Antenna [21], an integrated antenna and GPS receiver board based on the Superstar II chipset. This receiver provides 12-channel L1-frequency coarse acquisition (C/A) code solution with better than 5 m Circular Error Probable (CEP) accuracy, and carrier phase tracking combined with the Wide Area Augmentation System (WAAS) for differential corrections to achieve better than 1.5 m CEP. The second-generation prototype system uses an FV-25 GPS module with Atmel GPS receiver chipset, as shown in Figure 3.4. The FV-25 GPS module is a 16-channel L1 frequency GPS receiver capable of outputting position and velocity at 5 Hz with WAAS differential corrections. This level of accuracy is more than suffcient for the current application and avoids the need for a fxed base station, improving the portability and range of the structure profle assessment system.



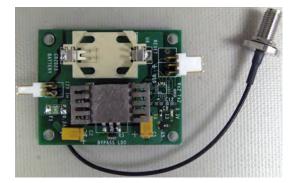


Figure 3.4: FV-25 GPS module with Atmel GPS receiver chipset mounted on a custom-designed interface board.

The GPS receiver also provides redundant velocity sensing (0.05 m/s RMS) while entering and leaving the structure. The GPS unit provides position, velocity, and time (PVT) in National Marine Electronics Association GPS communications standard (NMEA-0183) format via an RS-232 serial interface. The output data (World Geodetic System 84 (WGS-84) global reference frame) includes latitude  $\varphi(t)$ , longitude  $\lambda(t)$ , and altitude or height h(t), i.e., the distance from the WGS-84 ellipsoid.

By the very nature of GPS, this sensor is not available while traveling through the

structure. Given the functional requirements (structure identification / verification, and redundant velocity sensing at structure end points), this is not a problem in the current application. Structure identification and verification requires only very coarse accuracy and a single-point reading (coordinated with the camera discussed below) — typically the GPS accuracy greatly exceeds the GIS accuracy for structure records. Also, as the operation is performed at near constant velocity, the speed readings from the GPS receiver on entering and leaving the structure can be interpolated effectively to provide redundant speed approximation while under the structure. The receiver reacquires the satellite signals and navigation tracking quickly upon leaving the structure. For system health and accuracy validation, additional data is collected, including the number of visible satellites and Horizontal Dilution of Precision (HDOP).

#### 3.5 Human-Machine Interface (HMI)

The in-vehicle HMI is provided via a laptop computer. This choice was based primarily on user preference as indicated in system design reviews with the DOT—in addition, this choice provides fexibility for research, and allows the operators to perform data analysis in the feld, if preferred. The laptop used is a 1.7 GHz Pentium mobile, with an ATI 9600 graphics chipset.

Bi-directional communication between the sensing platform and the HMI is provided via 802.11g (WiFi) [13]. This design decision greatly facilitated vehicle integration. As a result, the HMI laptop connections that are needed are the vehicle's standard 12-volt power adapter, and the Universal Serial Bus (USB) interface of the Canon digital camera [7]. Hence, the total system is easily portable and can be installed on a wide range of vehicles.

#### 3.6 Camera

For structure ID verifcation, STRUCTVIEW includes a forward-looking dash-mounted digital still camera. The HMI controls the camera using the Canon USB Application Programming Interface (API) [7], and transfers images back to the HMI device via the USB port. Currently, the camera is triggered via the **Photo** or **Start** buttons in the HMI, and takes a single snapshot of the structure profle. The resulting Joint Photographic Experts Group (JPEG) image is stored as part of the database for this structure scan. The camera subsystem is currently being updated to provide a series of images as the support vehicle moves through the structure. The Canon Powershot G7 digital camera was selected for this application based on its excellent performance characteristics.

#### 3.7 Computing, Power, and Communications

Power to the sensing platform is provided via a standard 7-pin trailer hitch connector, again providing portability and easy installation. The sensing platform power input is +12 volt Direct Current (DC), with internal conversion to provide all additional voltages. The upgraded device control computer, based on a 1.7 GHz Intel Pentium M Central Processing Unit (CPU), with 1 GB RAM, uses a direct DC/DC conversion power supply, thus avoiding inverter ineffciencies. The computer motherboard is an Aaeon EMB-852T motherboard, which supports any Socket-478M Intel CPU. Two RS232 ports were used to communicate with the GPS receiver and laser scanner. The Acuity HSIF card is connected to one available PCI bus connector. The laser sensor uses +5 V, the GPS uses +12 V, and the ground speed radar uses +12 V. The camera and the HMI laptop are battery-powered, but can also use the in-vehicle 12 V supply if needed. A Linksys WRT54GC 802.11g wireless router (+5 V power) was used so that the device control computer can communicate with the HMI laptop wirelessly. A custom power supply board, shown in Figure 3.5, was designed and fabricated to reduce occupied space and increase reliability.



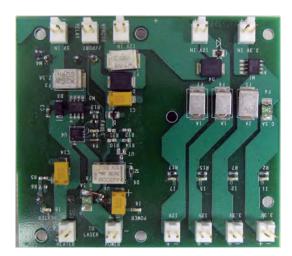


Figure 3.5: Custom power supply board for wireless router and sensors.

System communications between the HMI and the sensing computer is via 802.11g wireless [13]. Communications (data and control) between the HMI computer and the still digital camera is over a wired USB connection [7]. Communications within the sensing platform is typically via RS-232, as noted in the detailed discussion for the hardware components.

# Chapter 4

## STRUCTVIEW Software

The STRUCTVIEW software components were written in C++ and built on Trolltech's Qt<sup>TM</sup> development framework. Initial development was carried out in a GNU's Not Unix (GNU) / Linux environment, but Microsoft's Windows XP <sup>R</sup> was ultimately selected for production, primarily due to the availability of vendor-supplied drivers and Software Development Kits (SDKs) for some of the more proprietary hardware used in the system. The software consists of two packages: the sensor application running on the device controller computer, and the user application running on the HMI laptop.

#### 4.1 Sensor Application

The sensor application runs on the device controller computer with Microsoft's Windows XP <sup>R</sup> operating system and serves as the interface to the system's sensors, coordinating all control and data acquisition activities. At startup, it spawns a dedicated thread for each STRUCTVIEW sensor. Each thread is responsible for all configuration and data acquisition for its sensor, and is controlled by the application via sockets and shared memory. While in its "ready" state, the sensor application waits for and responds to commands issued by the user application. Communication between the sensor platform and the user application on the HMI is by means of an HyperText Transfer Protocol (HTTP)-based protocol. During a sampling session, each thread initializes its sensor and begins to acquire and log data, time-stamping it for later synchronization. Sensor logs for the session are organized into a batch and tagged with a unique identifer. When the session is terminated, the batch is compressed and transferred to the user application.

#### 4.2 User Application

The user application consists of two modules. The data collection module (see Figure 4.1 on the next page) supports the user during data collection, handling all user interaction,

including confguring the system, organizing and performing sampling sessions, and logging all necessary data. Sampling sessions can be annotated with voice or text, as shown in Figure 4.3 on the facing page. The data post-processing module enables point cloud data processing, data visualization, analysis of acquired data, and production of the clearance diagram. The user application runs on the HMI laptop. In addition, the HMI laptop also interfaces, through USB, to the Canon digital camera mounted on the vehicle dashboard (see Figure 4.3 on the next page.

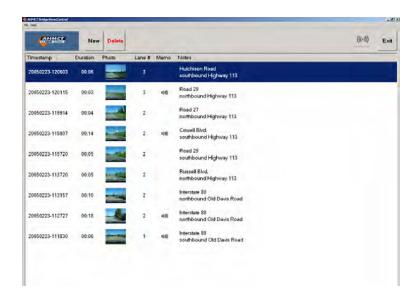


Figure 4.1: Data collection application screenshot.



Figure 4.2: Voice and type note annotation application screenshot.

Based on prototype development and initial testing with end users, a simple buttonbased graphical interface was chosen; however, hot-keys are also available for expert



Figure 4.3: Photo acquisition application screenshot.

users. A key STRUCTVIEW requirement is the ability to rapidly cycle through the sampling process for closely-spaced structures. Structure spacing is sometimes on the order of 0.4 km (0.25 mile), so at highway speed, there may be as little as 10 - 15 seconds between sampling operations. This significantly impacted the design, driving the need for simplicity and effcient data handling. Figure 4.1 on the facing page is a screen shot of the data collection module user interface showing several sample sessions. Figure 4.2 on the preceding page is a screen shot of the data collection module voice and text annotation user interface, and Figure 4.3 is a screen shot of the data collection module photo capture user interface.

After sampling is complete and data has been processed, the user application renders a 3D view of the dataset. The user can then explore, manipulate, and measure a variety of structural features, including the vertical and horizontal clearances specifed by AASHTO guidelines [1] and Federal requirements [20, 16]. Minimum vertical clearances are determined above road edges and above each paint stripe, making paint stripe identification an important feature recognition function. An example of such an operation is shown in Figure 4.4. The second generation of the data post-processing module has made improvements in many areas, including lane line detection and noise filtering. Figure 4.5 on page 21 and Figure 4.6 show the latest point cloud post-processing software user interface.

To support this functionality, the data recorded from each sampling operation passes through a preprocessing stage before the user can interact with it. First, the logs from each sensor (rangefnder/encoder, radar speed sensor, GPS) are parsed and any relevant calibration is applied. These sensors produce data at different rates, so the timestamps recorded during the sampling operation are then used to synchronize the three data streams. The GPS and speed sensor streams are then merged into the much higher-rate rangefnder stream through linear interpolation and resampling, producing a 50 kHz set

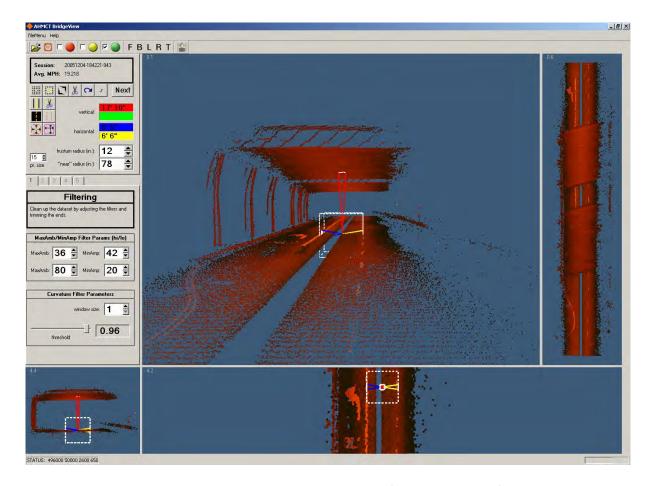


Figure 4.4: First-generation user application screenshot.

of datapoints, each point of which contains data refecting measurements from all three sensors.

Next, various flters are applied to these datapoints to remove noise and other artifacts, including invalid range samples in the dead zone described above. Following this, the datapoints are mapped into a cylindrical coordinate system  $(r, \theta, z)$  with z aligned opposite the vehicle's direction of travel (refer to Figure 2.3 on page 6). The r axis represents the range of each point from the sensor, angle  $\theta$  is derived from the discrete (0-4095) encoder value, and z is an approximation of the longitudinal location of the point from equation (2.2). The origin is defined such that r = 0 is the location of the laser rangefnder,  $\theta = 0$  is horizontal toward the vehicle's starboard, and z = 0 is set at the location of the laser rangefnder at the instant of the frst sample taken in the dataset. To enhance the performance of subsequent processing, the points are also mapped into a Cartesian coordinate system. Both of these coordinate systems share the same origin and z axis. The x axis of the Cartesian system is aligned with  $\theta = 0$ .

Finally, the datapoints are organized into a time-ordered set of "cycle structures", each of which is itself a time-ordered set of datapoints collected during one full ( $2\pi$  rad) sweep of the beam. Arranging the data in this way allows the sample set to be quickly traversed

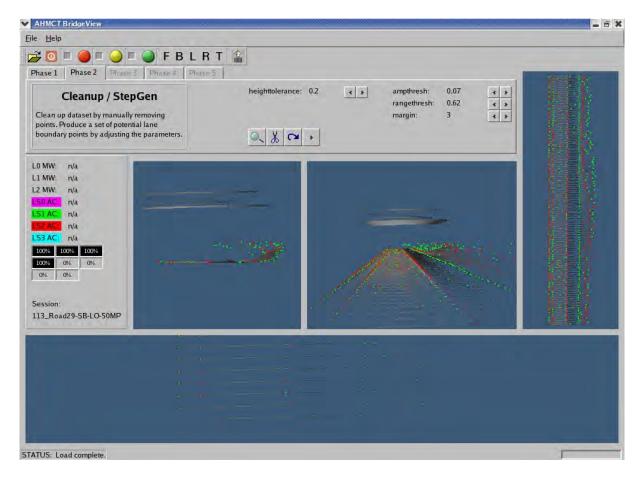


Figure 4.5: Second-generation user application screenshot - clean-up screen.

for the detection and identification of features such as paint stripes, curbs and structural and roadway surfaces (Figure 4.7). Without this arrangement there is only an unordered point cloud, which would require use of computationally-expensive surface identification algorithms. For example, one of the tools offered by the user application allows for the interactive identification of paint stripes on the road surface. To do this, the tool needs to be able to quickly traverse the set of datapoints representing the road surface while searching for patterns in the returned signal amplitude that potentially ft the profle of a paint stripe. Using the cycle structures, a raster-like scan of the road surface can be performed by iterating through the datapoints in order for each cycle.

One of the main requirements of the system is the ability to determine the minimum clearance of an overhead structure (e.g. a bridge) above the lanes on the road or above the paint stripes that divide them. Once the paint stripes and lanes have been identifed as discussed above, they are triangulated. The resulting set of triangles  $T = \{t_i \mid i \in \mathbb{N}, i \le n\}$ , along with a set of candidate points U from the overhead structure and a set of candidate points V from the road surface<sup>1</sup>, is then orthographically projected onto the xz plane as

<sup>&</sup>lt;sup>1</sup>U and V are the result of a coarse subdivision and culling procedure that separates overhead structure points from road surface points and eliminates most of the points that are not relevant to the clearance calculations.

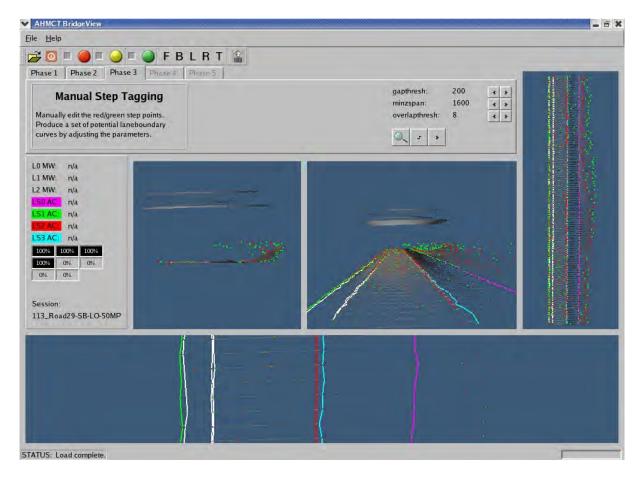


Figure 4.6: Second-generation user application screenshot - manual step tagging.

shown in Figure 4.8. Now reduced to two dimensions, a point-triangle inclusion test (described below) is performed for each projected triangle  $\tilde{t}_i \in T$  against all the points in projected sets  $\tilde{U}$  and  $\tilde{V}$ . Since the point sets ( $\tilde{U}_{cc} \subseteq \tilde{U}$  and  $\tilde{V}_{cc} \subseteq \tilde{V}$ ) which pass the  $\tilde{t}_i$  inclusion test all lie in or on  $\tilde{t}_i$ , it also holds that the vertical projections of those points' corresponding preimages (in  $U_{cc}$  and  $V_{cc}$ ) must pass through that triangle's preimage,  $t_i$  (in T). The minimum overhead clearance  $h_i$  above the triangle  $t_i$  is then calculated as the difference between the minimum y-value found among the points in  $U_{cc}$  and the maximum y-value found among the points in  $V_{cc}$ . This process is repeated for each  $t_i \in T$ , and the lowest clearance value found is then considered to be the minimum overhead clearance along T:

$$MOHC(T) = \min h_i.$$
 (4.1)

This technique is useful not only in determining minimum vertical clearances, but also anywhere the software needs to perform orthographic distance measurements between sections of surfaces defined by point sets.

The 2D point-triangle inclusion test mentioned above is accomplished by considering

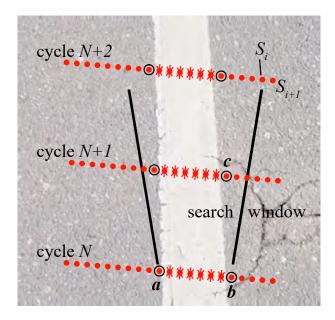


Figure 4.7: Close-up view illustrating paint stripe identification. The red points depict laser range samples, and the search window is a heuristic device used in the detection process.

some given point p as an affne combination of the points that define a triangle  $\Delta abc$ :

$$\mathbf{p} = \lambda_a \mathbf{a} + \lambda_b \mathbf{b} + \lambda_c \mathbf{c} \tag{4.2}$$

$$\lambda_a + \lambda_b + \lambda_c = 1 \tag{4.3}$$

The linear system defined by (4.2) and (4.3) can be solved to yield the coeffcients<sup>2</sup> ( $\lambda_a$ ,  $\lambda_b$ ,  $\lambda_c$ ), which can then be used to classify  $\bf p'$ s relationship to  $\Delta abc$  [23, 15]. One of the properties of these coeffcients is that if (and only if) they are all non-negative, then  $\bf p$  lies on or within  $\Delta abc$ , i.e.,

$$p \in \Delta abc \iff \lambda_a, \ \lambda_b, \lambda_c \ge 0.$$
 (4.4)

In other words, p is a convex combination of (a, b, c).

<sup>&</sup>lt;sup>2</sup>These coeffcients are commonly known as the barycentric coordinates of p with respect to  $\Delta abc$ . The coeffcients can be thought of as a coordinate system (barycentric space) for the plane defined by  $T_{abc}$ .

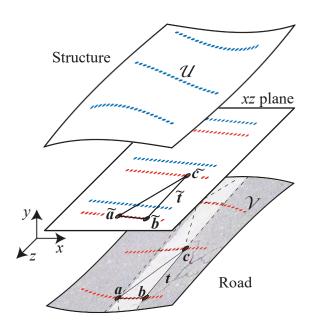


Figure 4.8: Triangle  $\Delta abc$  from a triangulated paint stripe is mapped into the xz plane along with candidate points U from the overhead structure and V from the road surface. Points in U and V whose corresponding mappings are found to be convex combinations of  $(\tilde{a}, \tilde{b}, \tilde{c})$  are known to project vertically through  $\Delta abc$ . y-values from these points are then used to determine the minimum vertical clearance above  $\Delta abc$ .

# Chapter 5

## **Conclusions and Future Work**

STRUCTVIEW provides a low-cost vehicle-based approach to obtaining structure profle measurements needed by DOTs for issuing permits based on vehicle height, as well as the horizontal dimensions needed by the military and Homeland Security. It allows sensing at highway speed, and yields a full 3D point cloud. The STRUCTVIEW user application supports extraction of all the required structure profle dimensions in an effcient and cost-effective manner. STRUCTVIEW gets the Structures Maintenance workers off of the roadway and into a safe environment in the host vehicle. In addition, the sensing and analysis workfow removes many of the opportunities for human error inherent in manual data collection and documentation. With the resulting improvement in the accuracy and timeliness of structure profle information and resulting oversize permitting, there will be a lower probability of related bridge strikes, with associated cost, safety, and congestion benefts. Finally, as highway-speed sensing removes the need for fxed or rolling lane closures, the traveling public will not be impacted by the lane closures required by most current approaches. In summary, STRUCTVIEW will enhance the overall mobility of the transportation system.

The second-generation prototype STRUCTVIEW provided a significant advance in the state of the art for structure profle assessment, and the current approach of clearance measurement from a moving platform using scanning lasers has been validated by commercial developments during the course of this research. Several companies have introduced mobile 3D laser scanning systems (mobile LiDAR), and some are specifically focused on highway infrastructure applications. These systems use an approach similar to that of the prototype developed in this research. The commercial systems tend to integrate very high-end 3D laser scanners and inertial measurement units, with associated high cost vs. our research prototype. However, the performance of the commercial units is excellent, costs are expected to decrease, and the commercial systems typically can address multiple highway infrastructure applications depending on confguration, e.g. roadside feature inventory. Many of the commercial LiDAR systems are well-suited for bridge clearance measurement. Some commercially available mobile LiDAR systems are shown in Figure 5.1 on the next page.



Figure 5.1: Commercial mobile LiDAR systems.

Given the relatively mature and rapidly evolving commercial landscape, further explicit research and development for STRUCTVIEW is not warranted. Several commercial entities are focusing their efforts on transportation infrastructure sensing applications, including bridge clearance measurement. The commercial technologies are robust, and provide excellent performance. Detailed and ongoing monitoring and evaluation of these systems is warranted, and is occurring as part of separate AHMCT research. This research will also investigate cost and beneft trade-offs for this technology and specifc systems, as well as the ft and suitability for DOT workfow and applications. In addition, AHMCT will continue to evaluate the commercial landscape, identify gaps in vendor-provided software and hardware, and investigate methods to fll these gaps.

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