

Memorandum

*Serious drought.
Help save water!*

To: LAURINE BOHAMERA, Chief
External Audits–Contracts
Audits and Investigations

Date: January 29, 2016

File: P2525-0012
P2525-0014
P2525-0015
P2525-0016

ORIGINAL SIGNED BY:

From: BRUCE ROBERTS
Chief
Division of Rail and Mass Transportation

Subject: **AUDIT REPORT OF SAN DIEGO ASSOCIATION OF GOVERNMENTS**

This memorandum serves as the California Department of Transportation's (Caltrans) corrective action resolution plan for the Proposition 1B (Prop 1B) Trade Corridor Improvement Fund funds on the Southline Rail Improvements–Mainline Improvements dated September 2015. The Phases one, two, three, and four are listed below:

- Southline Rail Improvements–Mainline Improvements (Phase 1)
Project No. 0000020723
- Southline Rail Improvements–Mainline Improvements (Phase 2)
Project No. 0000020727
- Southline Rail Improvements–Mainline Improvements (Phase 3)
Project No. 0012000179
- Southline Rail Improvements–Mainline Improvements (Phase 4)
Project No. 0013000197

The audit report found that Observation 1: “Contract Bid Documents Not Maintained”, the San Diego Association of Governments (SANDAG) did not retain date and time stamped bid envelopes from contract bidders. The audit report recommended that the SANDAG retain date and time stamped bid envelopes for all contract bids for a minimum of four years after final payment.

The SANDAG implemented the actions listed above on the finding effective April 1, 2015. Caltrans' Division of Rail and Mass Transportation (DRMT) has reviewed and verified SANDAG's process to resolve the findings.

LAURINE BOHAMERA, Chief

January 29, 2016

Page 2 of 2

If you have any questions, please contact Sadie Smith, Chief Capital Projects, DRMT, at (916) 654-6327.

c: Stephen Maller, Deputy Director, California Transportation Commission
Teresa Favila, Assistant Deputy Director, California Transportation Commission
Bruce De Terra, Chief, Transportation Planning
Doris M. Alkebulan, Prop 1B Specialist, Transportation Programming
Luisa Ruvalcaba, Audit Manager, Audits and Investigations