

Review
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Caltrans

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

BID

FOR CONSTRUCTION ON STATE HIGHWAY IN SANTA CLARA COUNTY AT VARIOUS
LOCATIONS FROM SAN BENITO COUNTY LINE TO ROUTE 85/101 INTERCHANGE

In District 04 On Route 101

Under

Notice to Bidders and Special Provisions dated November 25, 2013

Standard Specifications dated 2010

Project plans approved April 22, 2013

Standard Plans dated 2010

Identified by

Contract No. 04-153304

04-SCI-101-0.0/26.7

Project ID 0400020304

Federal-Aid Project

ACNHP-Q101(234)E

CML-6204(110)E

Electronic Advertising Contract



STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
BID TO THE DEPARTMENT OF TRANSPORTATION
 DES-OE-0102.1 (REV. 3/2011)

CONTRACT NO. 04 - 153304

NAME OF BIDDER GRANITE ROCK COMPANY

BUSINESS P.O. BOX _____

CITY, STATE, ZIP _____

BUSINESS STREET ADDRESS 120 Granite Rock Way

(Include even if P.O. Box used)

CITY, STATE, ZIP San Jose, CA 95136

TELEPHONE NO: **AREA CODE (408)** 574-1400

FAX NO: **AREA CODE (408)** 365-9548

CONTRACTOR LICENSE NO. 22

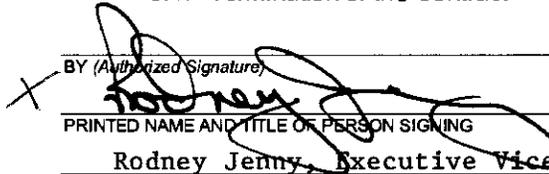
1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.
2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:
 - 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:
 - 2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.
 - 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
 - 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.
 - 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.
 - 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.
 - 2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

- 2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.
For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.
For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.
- 2.7. The Department's decision on the bid amount is final.
3. Bidder has and acknowledges the following addenda:
1
4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:
Cash \$ _____, Cashiers Check, Certified Check, **Bidder's Bond**
5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
- 5.1. Criminal prosecution
 - 5.2. Rejection of the bid
 - 5.3. Rescission of the award
 - 5.4. Termination of the Contract

BY (Authorized Signature) 	DATE SIGNED (Do not type) 1/31/14
PRINTED NAME AND TITLE OF PERSON SIGNING Rodney Jenny, Executive Vice President	

BID ITEM LIST

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	5000 -
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	LUMP SUM	LUMP SUM	5000 -
3	090105	TIME-RELATED OVERHEAD (LS)	LS	LUMP SUM	LUMP SUM	1296661 -
4	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	15000 -
5	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	100000 -
6	120120	TYPE III BARRICADE	EA	61	75 -	4575 -
7	120151	TEMPORARY TRAFFIC STRIPE (TAPE)	LF	43,200	2 -	86400 -
8	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LS	LUMP SUM	LUMP SUM	50000 -
9	129000	TEMPORARY RAILING (TYPE K)	LF	2,900	20 -	58000 -
10	129100	TEMPORARY CRASH CUSHION MODULE	EA	170	500 -	85000 -
11	130100	JOB SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	25000 -
12	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LS	LUMP SUM	LUMP SUM	2500 -
13	130310	RAIN EVENT ACTION PLAN	EA	73	500.00	36,500.00
14	130320	STORM WATER SAMPLING AND ANALYSIS DAY	EA	46	40 -	1840 -
15	130330	STORM WATER ANNUAL REPORT	EA	2	2,000.00	4,000.00
16	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	SQYD	22,000	150	33000 -
17	130570	TEMPORARY COVER	SQYD	300	10 -	3000 -
18	130610	TEMPORARY CHECK DAM	LF	600	20 -	12000 -
19	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	70	200 -	14000 -
20	130640	TEMPORARY FIBER ROLL	LF	7,200	6 -	43200 -

Granite Rock Company

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	130670	TEMPORARY REINFORCED SILT FENCE	LF	18,500	11-	203500-
22	130680	TEMPORARY SILT FENCE	LF	2,200	6-	13200-
23	130730	STREET SWEEPING	LS	LUMP SUM	LUMP SUM	3500-
24	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM	LUMP SUM	2500-
25	140003	ASBESTOS COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	1500-
26	141000	TEMPORARY FENCE (TYPE ESA)	LF	33,500	5-	167500-
27	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	LF	17,000	.80	13600-
28	141107	NOA BURIAL LOCATION REPORT	LS	LUMP SUM	LUMP SUM	2500-
29	141109	ADL BURIAL LOCATION REPORT	LS	LUMP SUM	LUMP SUM	2500-
30	148005	NOISE MONITORING	LS	LUMP SUM	LUMP SUM	2500-
31	149001	DUST CONTROL PLAN (NATURALLY OCCURING ASBESTOS)	LS	LUMP SUM	LUMP SUM	1200-
32	150221	ABANDON INLET	EA	1	400-	400-
33	150630	REMOVE MARKER	EA	68	25-	1700-
34	150661	REMOVE GUARDRAIL	LF	900	7-	6300-
35	150685	REMOVE IRRIGATION FACILITY	LS	LUMP SUM	LUMP SUM	5000-
36	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	LF	30,800	.50	15400-
37	150715	REMOVE THERMOPLASTIC PAVEMENT MARKING	SQFT	960	3-	2880- 2808-
38	150722	REMOVE PAVEMENT MARKER	EA	870	2-	1740-
39	150742	REMOVE ROADSIDE SIGN	EA	1	100-	100-
40	150770	REMOVE ASPHALT CONCRETE PAVEMENT (SQFT)	SQFT	1,950	3-	5850-

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Granite Rock Company

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	150771	REMOVE ASPHALT CONCRETE DIKE	LF	1,780	8-	14240-
42	150809	REMOVE CULVERT (LF)	LF	8	80-	640-
43	150820	REMOVE INLET	EA	1	500-	500-
44	152320	RESET ROADSIDE SIGN	EA	3	200-	600-
45	152386	RELOCATE ROADSIDE SIGN-ONE POST	EA	21	200-	4200-
46	152430	ADJUST INLET	EA	2	3500-	7000-
47	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	4,980	9-	44820-
48	153121	REMOVE CONCRETE (CY)	CY	150	200-	30000-
49	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM	LUMP SUM	30000-
50	190101	ROADWAY EXCAVATION	CY	12,600	36-	453600-
51	190107	ROADWAY EXCAVATION (TYPE Y-1) (AERIALY DEPOSITED LEAD)	CY	3,430	22-	75460-
52	190109	ROADWAY EXCAVATION (NATURALLY OCCURRING ASBESTOS)	CY	500	24-	12000-
53	190185	SHOULDER BACKING	CY	810	175-	141750-
54	200002	ROADSIDE CLEARING	LS	LUMP SUM	LUMP SUM	5000-
55	200052	PRUNE EXISTING PLANTS	LS	LUMP SUM	LUMP SUM	10000-
56	202006	SOIL AMENDMENT	CY	6	74-	444-
57	202038	PACKET FERTILIZER	EA	330	.70	231-
58	204009	PLANT (GROUP I)	EA	44	82-	3608-
59	204036	PLANT (GROUP B)	EA	150	79-	11850-
60	204099	PLANT ESTABLISHMENT WORK	LS	LUMP SUM	LUMP SUM	21000-

Granite Rock Company

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61	205035	WOOD MULCH	CY	12	81-	972-
62	205051	FOLIAGE PROTECTOR	EA	44	21-	924-
63	206400	CHECK AND TEST EXISTING IRRIGATION FACILITIES	LS	LUMP SUM	LUMP SUM	2000-
64	206559	CONTROL AND NEUTRAL CONDUCTORS (ARMOR-CLAD)	LS	LUMP SUM	LUMP SUM	9500-
65	206562	1" REMOTE CONTROL VALVE	EA	2	279-	558-
66	206565	2" REMOTE CONTROL VALVE	EA	1	292-	292-
67	206631	1" WYE STRAINER ASSEMBLY	EA	2	292-	584-
68	206633	1 1/2" WYE STRAINER ASSEMBLY	EA	4	292-	1168-
69	206749	8 STATION IRRIGATION CONTROLLER (WALL MOUNTED)	EA	1	2045-	2045-
70	208448	RISER SPRINKLER ASSEMBLY	EA	190	19-	3610-
71	208562	CAM COUPLER ASSEMBLY	EA	4	155-	620-
72	208574	1 1/2" GATE VALVE	EA	3	347-	1041-
73	208575	2" GATE VALVE	EA	4	384-	1536-
74 (F)	208594	3/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	4,550	250	11375-
75 (F)	208595	1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	480	290	1392-
76 (F)	208597	1 1/2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	1,400	380	5320-
77 (F)	208598	2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	790	4-	3160-
78 (F)	208600	3" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	LF	110	5-	550-
79	208649	QUICK COUPLING VALVE	EA	2	373-	746-
80	208683	BALL VALVE	EA	7	373-	2611-

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Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
81	208738	8" CORRUGATED HIGH DENSITY POLYETHYLENE PIPE CONDUIT	LF	110	133-	14630-
82	208760	10" CORRUGATED STEEL PIPE CONDUIT (.064" THICK)	LF	22	233-	5126-
83	210270	ROLLED EROSION CONTROL PRODUCT (NETTING)	SQFT	47,900	.75	35925-
84	210300	HYDROMULCH	SQFT	201,000	.04	8040-
85	210350	FIBER ROLLS	LF	4,160	3.55	14768-
86	210430	HYDROSEED	SQFT	201,000	.08	16080-
87	210600	COMPOST	SQFT	201,000	.20	40200-
88	210630	INCORPORATE MATERIALS	SQFT	10,200	.29	2958-
89	250401	CLASS 4 AGGREGATE SUBBASE	CY	8,290	60-	497400-
90	260303	CLASS 3 AGGREGATE BASE (CY)	CY	110	280-	30800-
91	390095	REPLACE ASPHALT CONCRETE SURFACING	CY	11	1000-	11000-
92	390132	HOT MIX ASPHALT (TYPE A)	TON	13,900	110-	1529000-
93	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	LF	270	6-	1620-
94	394076	PLACE HOT MIX ASPHALT DIKE (TYPE E)	LF	1,070	6-	6420-
95	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	LF	130	6-	780-
96	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	TON	690	240-	165600-
97	397005	TACK COAT	TON	8	1500-	12000-
98	498052	60" CAST-IN-DRILLED-HOLE CONCRETE PILE (SIGN FOUNDATION)	LF	75	1100-	82500-
99 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	2.5	2000-	5000-
100 (F)	560218	FURNISH SIGN STRUCTURE (TRUSS)	LB	44,930	330	148269-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
101 (F)	560219	INSTALL SIGN STRUCTURE (TRUSS)	LB	44,930	.20	8986-
102	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	740	10-	7400-
103	566011	ROADSIDE SIGN - ONE POST	EA	31	200-	6200-
104	566012	ROADSIDE SIGN - TWO POST	EA	1	300-	300-
105	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	EA	68	100-	6800-
106	620100	18" ALTERNATIVE PIPE CULVERT	LF	220	85-	18700-
107	620140	24" ALTERNATIVE PIPE CULVERT	LF	8	250-	2000-
108 (F)	682049	CLASS 3 PERMEABLE MATERIAL (BLANKET)	CY	430	70-	30100-
109	705311	18" ALTERNATIVE FLARED END SECTION	EA	5	500-	2500-
110	730070	DETECTABLE WARNING SURFACE	SQFT	23	22-	506-
111	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	CY	160	800-	128000-
112 (F)	750001	MISCELLANEOUS IRON AND STEEL	LB	789	3-	2367-
113	820107	DELINEATOR (CLASS 1)	EA	260	40-	10400-
114	820121	TEMPORARY DELINEATOR (CLASS 1)	EA	650	40-	26000-
115	820151	OBJECT MARKER (TYPE L-1)	EA	35	46-	1610-
116	820190	SPECIAL MARKER	EA	4	61-	244-
117	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	LF	2,140	22-	47080-
118	839581	END ANCHOR ASSEMBLY (TYPE SFT)	EA	35	715-	25025-
119	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	EA	35	2300-	80500-
120	840504	4" THERMOPLASTIC TRAFFIC STRIPE	LF	45,000	.30	13500-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
121	840505	6" THERMOPLASTIC TRAFFIC STRIPE	LF	710	.50	355 -
122	840506	8" THERMOPLASTIC TRAFFIC STRIPE	LF	18,100	1 -	18100 -
123	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	1,610	4 -	6440 -
124	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	1,030	5 -	5150 -
125	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM	LUMP SUM	46000 -
126	025814	LIGHTING AND SIGN ILLUMINATION (LOCATION 7)	LS	LUMP SUM	LUMP SUM	82000 -
127	025815	LIGHTING AND SIGN ILLUMINATION (LOCATION 9)	LS	LUMP SUM	LUMP SUM	46000 -
128	025816	LIGHTING AND SIGN ILLUMINATION (LOCATION 10)	LS	LUMP SUM	LUMP SUM	56000 -
129	025817	LIGHTING AND SIGN ILLUMINATION (LOCATION 15)	LS	LUMP SUM	LUMP SUM	46000 -
130	025818	LIGHTING AND SIGN ILLUMINATION (LOCATION 20)	LS	LUMP SUM	LUMP SUM	46000 -
131	025819	LIGHTING AND SIGN ILLUMINATION (LOCATION 23)	LS	LUMP SUM	LUMP SUM	46000 -
132	025820	LIGHTING AND SIGN ILLUMINATION (LOCATION 25)	LS	LUMP SUM	LUMP SUM	46000 -
133	025821	TRAFFIC OPERATIONS SYSTEM (LOCATION 1)	LS	LUMP SUM	LUMP SUM	81000 - #224490 R9.
134	025822	TRAFFIC OPERATIONS SYSTEM (LOCATION 2)	LS	LUMP SUM	LUMP SUM	138000 -
135	025823	TRAFFIC OPERATIONS SYSTEM (LOCATION 3)	LS	LUMP SUM	LUMP SUM	66000 -
136	025824	TRAFFIC OPERATIONS SYSTEM (LOCATION 4)	LS	LUMP SUM	LUMP SUM	148000 -
137	025825	TRAFFIC OPERATIONS SYSTEM (LOCATION 5)	LS	LUMP SUM	LUMP SUM	41000 -
138	025826	TRAFFIC OPERATIONS SYSTEM (LOCATION 6)	LS	LUMP SUM	LUMP SUM	62000 -
139	025827	TRAFFIC OPERATIONS SYSTEM (LOCATION 7)	LS	LUMP SUM	LUMP SUM	193000 -
140	025828	TRAFFIC OPERATIONS SYSTEM (LOCATION 8)	LS	LUMP SUM	LUMP SUM	76000 -

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
141	025829	TRAFFIC OPERATIONS SYSTEM (LOCATION 9)	LS	LUMP SUM	LUMP SUM	96000-
142	025830	TRAFFIC OPERATIONS SYSTEM (LOCATION 10)	LS	LUMP SUM	LUMP SUM	143000-
143	025831	TRAFFIC OPERATIONS SYSTEM (LOCATION 11)	LS	LUMP SUM	LUMP SUM	46000-
144	025832	TRAFFIC OPERATIONS SYSTEM (LOCATION 12)	LS	LUMP SUM	LUMP SUM	92000-
145	025833	TRAFFIC OPERATIONS SYSTEM (LOCATION 13)	LS	LUMP SUM	LUMP SUM	79000-
146	025834	TRAFFIC OPERATIONS SYSTEM (LOCATION 14)	LS	LUMP SUM	LUMP SUM	40000-
147	025835	TRAFFIC OPERATIONS SYSTEM (LOCATION 15)	LS	LUMP SUM	LUMP SUM	122000-
148	025836	TRAFFIC OPERATIONS SYSTEM (LOCATION 16)	LS	LUMP SUM	LUMP SUM	178000-
149	025837	TRAFFIC OPERATIONS SYSTEM (LOCATION 17)	LS	LUMP SUM	LUMP SUM	61000-
150	025838	TRAFFIC OPERATIONS SYSTEM (LOCATION 18)	LS	LUMP SUM	LUMP SUM	96000-
151	025839	TRAFFIC OPERATIONS SYSTEM (LOCATION 19)	LS	LUMP SUM	LUMP SUM	107000-
152	025840	TRAFFIC OPERATIONS SYSTEM (LOCATION 20)	LS	LUMP SUM	LUMP SUM	178000-
153	025841	TRAFFIC OPERATIONS SYSTEM (LOCATION 21)	LS	LUMP SUM	LUMP SUM	204000-
154	025842	TRAFFIC OPERATIONS SYSTEM (LOCATION 22)	LS	LUMP SUM	LUMP SUM	66000-
155	025843	TRAFFIC OPERATIONS SYSTEM (LOCATION 23)	LS	LUMP SUM	LUMP SUM	66000-
156	025844	TRAFFIC OPERATIONS SYSTEM (LOCATION 24)	LS	LUMP SUM	LUMP SUM	56000-
157	025845	TRAFFIC OPERATIONS SYSTEM (LOCATION 25)	LS	LUMP SUM	LUMP SUM	142000-
158	025846	TRAFFIC OPERATIONS SYSTEM (LOCATION 26)	LS	LUMP SUM	LUMP SUM	35000-
159	025847	TRAFFIC OPERATIONS SYSTEM (LOCATION 27)	LS	LUMP SUM	LUMP SUM	96000-
160	025848	TRAFFIC OPERATIONS SYSTEM (LOCATION 28)	LS	LUMP SUM	LUMP SUM	86000-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
161	025849	TRAFFIC OPERATIONS SYSTEM (LOCATION 29)	LS	LUMP SUM	LUMP SUM	400000-
162	025850	TRAFFIC OPERATIONS SYSTEM (LOCATION 30)	LS	LUMP SUM	LUMP SUM	450000-
163	025851	TRAFFIC OPERATIONS SYSTEM (LOCATION 31)	LS	LUMP SUM	LUMP SUM	122000-
164	025852	TRAFFIC OPERATIONS SYSTEM (LOCATION 32)	LS	LUMP SUM	LUMP SUM	200000-
165	025853	TRAFFIC OPERATIONS SYSTEM (LOCATION 33)	LS	LUMP SUM	LUMP SUM	66000-
166	025854	TRAFFIC OPERATIONS SYSTEM (LOCATION 34)	LS	LUMP SUM	LUMP SUM	91000-
167	025855	TRAFFIC OPERATIONS SYSTEM (LOCATION 35)	LS	LUMP SUM	LUMP SUM	46000-
168	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	975000-

TOTAL BID
FOR BID ITEMS:

11,885,372 → \$ 11,985,372 -

TOTAL BID
FOR TIME:

$\frac{200}{170} \text{ R9} \times \frac{\$8,676.00}{\text{COST PER DAY}} = \$ \frac{1,735,200 -}{1,474,920 - \text{R9}}$
WORKING DAYS BID (Not to exceed 500 Days)

TOTAL BID FOR COMPARISON (COST PLUS TIME):

\$ 13,720,572 -

13,620,572.00

OK TM

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STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION

SUBCONTRACTOR LIST**Bidder Name: Granite Rock Company**

DES-OE-0102.2 (REV 3/2011)

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code 4100 et seq.) Complete columns 1 and 4 and submit with the bid.

Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item No.(s)	Column 3: Percent of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Farwest Safety Lodi, CA	4	100%	Work as described in bid item # 4
AC Dike Co. Lincoln, CA	93, 94, 95	100%	93, 94, 95
St. Francis Electric San Leandro, CA	125-167 168	100% 28%	125-167 168 (partial)
J & M Land Restoration Bakersfield, CA	16, 20, 83-88	100% 100%	16, 20, 83-88
ABSL Construction Hayward, CA	41 47	60% 38%	41 (partial), 47 (partial)
D & A Dirt & Aggregate Fairview, CA	34, 117-119, 168	100% 0.5%	34, 117-119, 168 (partial)
BL Equipment Visalia, CA	8	100%	8
Farwest Safety Lodi, CA	6, 39, 44, 45, 102-105, 113-116	100% 100% 100%	6, 39, 44, 45, 102-105, 113-116
Independent Structures Redding, CA	98,100,101	100%	98, 100, 101
Linear Options Durham, CA	5 1, 7, 27, 36-38, 120-124, 168	25% 100% 100% 3.1%	1, 5 (partial), 7, 27, 36-38, 120-124, 168 (partial)
D & S Trucking Castro Valley, CA	11 18 40 41 42 43	19% 4% 27% 6.4% 17.8% 20%	11, 18, 40-43, 47-53, 89-92, 96, 108 (All Partial)

Contract No. 04-153304

Granite Rock Company

Page #2

			Work as described in bid item #
D & S Trucking (cont.)	47	14.1%	
Castro Valley, CA	48	13.2%	
	49	10.2%	
	50	7.55%	
	51	32.6%	
	52	5.5%	
	53	10.8%	
	89	18.8%	
	90	8.6%	
	91	4.9%	
	92	6.4%	
	96	5.2%	
	108	21.3%	
KRC Safety	5	50%	5 (partial)
Visalia, CA			
Lonestar Landscape	35, 54-82	100%	35, 54-82
San Martin, CA			



Graniterock

120 Granite Rock Way
San Jose, CA 95136
Phone 408.574.1400
Fax 408.365.9548

FACSIMILE TRANSMITTAL SHEET

TO: Office Engineer	FROM: Denise Henning
COMPANY: Caltrans	DATE: 2/5/14
FAX NUMBER: 916.227.6282	PAGES INCLUDING COVER SHEET: 3
PHONE NUMBER:	SENDER'S PHONE NUMBER 831.768.2771

RE:
Caltrans Contract No. 04-153304
Bid Opening Date: 2/4/14

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY PLEASE RECYCLE

NOTES/COMMENTS

Following is the Subcontractor List from Granite Rock Company for the above referenced project. The list completes columns #2 and #3 as required within 24 hours of the bid submission.

Should you need any further information, please feel free to contact me.

Denise Henning

Denise Henning,
Estimating Administrator

SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Farwest Safety Lodi, CA			Work as described in bid item no. 4
			Work as described in bid item no.
AC Dike Co. Lincoln, CA			Work as described in bid item no. 93, 94, 95
			Work as described in bid item no.
St. Francis San Leandro, CA			Work as described in bid item no. 125 - 167, + 168 (Partial)
			Work as described in bid item no.
J + M Bakersfield, CA			Work as described in bid item no. 16, 20 + 83 - 88
			Work as described in bid item no.

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
<p>ARBSL Hayward, CA</p>			<p>Work as described in bid item no. 41 (Partial) + 47 (Partial)</p>
			<p>Work as described in bid item no.</p>
<p>R9 Bortoluffi & Bortolussi Watkin San Rafael, CA R9</p>			<p>Work as described in bid item no. R9 35 + 55 - 82, + 168 (Partial)</p>
<p>Lonestar landscape San Martin, CA</p>			<p>Work as described in bid item no. 35, 54 - 82</p>
<p>D+A Fairview, CA</p>			<p>Work as described in bid item no. 34, 117 - 119, + 168 (Partial)</p>
			<p>Work as described in bid item no.</p>
<p>B+h Equipment Visalia, CA</p>			<p>Work as described in bid item no. 8</p>
			<p>Work as described in bid item no.</p>

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SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

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Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Far west Safety Redi, CA			Work as described in bid item no. 6, 39, 44, 45, 102-105, 113-116
			Work as described in bid item no.
Independent Structures Redding, CA			Work as described in bid item no. 98, 100, + 101
			Work as described in bid item no.
Linear Options Durham, CA			Work as described in bid item no. 1, 5 (Partial), 7, 27, 36-38, 120-124, + 168 (Partial)
			Work as described in bid item no.
D + S Trucking Castro valley, CA			Work as described in bid item no. 11, 18, 40-43, 47-53 (All 90-91, 96, 108, 89, + 92 Partial)
			Work as described in bid item no.

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SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Bidder Name: Granite Rock Company

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
KIRC Safety Visalia, CA			Work as described in bid item no. 5 (Partial)
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.

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46

SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

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			Work as described in bid item no.
			Work as described in bid item no.
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			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.
			Work as described in bid item no.

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We Granite Rock Company

as Principal, and

Western Surety Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for Install TOS, Ramp Metering & Ramp Widening in Santa Clara County
(Copy here the exact description of work, including location, as it appears on the proposal)

at Various Locations from San Benito County Line to Route 85/101 interchange

for which bids are to be opened at _____

1727 30th St., Bidder's Exchange, MS 26, Sacramento, CA on February 4, 2014
(insert place where bids will be opened) (insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: January 16, 2014

Correspondence or claims relating to this bond should be sent to the surety at the following address:
555 Mission Street, Suite 200
San Francisco, CA 94105

Granite Rock Company

Thomas Squeri Principal President & CEO

Western Surety Company

By Stacy M. Clinton
Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of _____

On this _____ before me,

personally appeared _____

PLEASE SEE ATTACHED ACKNOWLEDGMENT

Here Insert Name and Title of the Officer

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature _____

Signature of Notary Public

ADA Notice

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Western Surety Company

POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Catherine A Pinney, Nancy L Wallis, K Dixon Wright, Stacy M Clinton, Venetia G Johnson, Donnalyn Revis, Kandace L Reeves, Natalie Ann Horder, Michael Landucci, Teresa Rose, Robert Lee Murphy, Individually

of Petaluma, CA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 21st day of June, 2013.



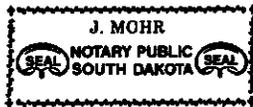
WESTERN SURETY COMPANY

Paul T. Bruflat
Paul T. Bruflat, Vice President

State of South Dakota }
County of Minnehaha } ss

On this 21st day of June, 2013, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires
June 23, 2015



J. Mohr
J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 16th day of January, 2014.



WESTERN SURETY COMPANY

L. Nelson
L. Nelson, Assistant Secretary

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Sonoma



On January 16, 2014 before me, Nancy L. Wallis, Notary Public

Date

Here Insert Name and Title of the Officer

personally appeared Stacy M. Clinton

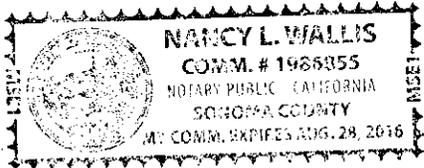
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Nancy L. Wallis* Signature of Notary Public



Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: Stacy M. Clinton

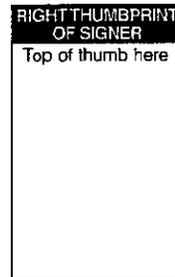
- Individual
- Corporate Officer — Title(s): _____
- Partner — Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer Is Representing: _____

Signer's Name: _____

- Individual
- Corporate Officer — Title(s): _____
- Partner — Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer Is Representing: _____

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO. 04 - 153304

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

Yes: Certification number? _____ No

Note: This small business questionnaire is included for statistical reporting only.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

**INSTRUCTIONS FOR COMPLETION OF SF-LLL,
DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

<p>1. Type of Federal Action:</p> <p><input type="checkbox"/> a. contract</p> <p>b. grant</p> <p>c. cooperative agreement</p> <p>d. loan</p> <p>e. loan guarantee</p> <p>f. loan insurance</p>	<p>2. Status of Federal Action:</p> <p><input type="checkbox"/> a. bid/offer/application</p> <p>b. initial award</p> <p>c. post-award</p>	<p>3. Report Type:</p> <p><input type="checkbox"/> a. Initial</p> <p>b. material change</p> <p>For Material Change Only:</p> <p>year _____ quarter _____</p> <p>date of last report _____</p>
<p>4. Name and Address of Reporting Entity:</p> <p><input type="checkbox"/> Prime <input type="checkbox"/> Subawardee</p> <p>Tier _____, <i>if known</i></p> <p>Congressional District, <i>if known</i>:</p>	<p>5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:</p> <p>Congressional District, <i>if known</i>:</p>	
<p>6. Federal Department/Agency:</p>	<p>7. Federal Program Name/Description:</p> <p>CFDA Number, if applicable _____</p>	
<p>8. Federal Action Number, if known:</p>	<p>9. Award Amount, if known:</p> <p>\$ _____</p>	
<p>10 a. Name and Address of Lobby Registrant</p> <p><i>(if individual, last name, first name, MI):</i></p>	<p>b. Individuals Performing Services (including address if different from No. 10a)</p> <p><i>(last name, first name, MI):</i></p>	
<p>11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</p>	<p>Signature: _____</p> <p>Print Name: _____</p> <p>Title: _____</p> <p>Telephone No.: _____ Date: _____</p>	
<p>Federal Use Only:</p>		<p>Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)</p>

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder ~~proposed subcontractor~~ _____, certifies that he has has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of Santa Clara

Rodney Jenny, being first duly sworn, deposes and says that he ~~or she~~ is Executive Vice President of Granite Rock Company the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes

No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder has has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
 Yes No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?
 Yes No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?
 Yes No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
 - 4.1. Date of each nonresponsibility determination
 - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
 - 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS



Granite Rock Company

Incorporated in the State of California

OFFICERS OF THE COMPANY



Chairman of the Board

Mark Kaminski
350 Technology Drive
Watsonville, CA 95076

President & CEO

Thomas Squeri
350 Technology Drive
Watsonville, CA 95076

Vice President & CFO

Stephen Snodgrass
350 Technology Drive
Watsonville, CA 95076

**Vice President,
General Counsel
Secretary**

Kevin Jeffery
350 Technology Drive
Watsonville, CA 95076

**Executive Vice President,
Construction Division**

Rodney Jenny
120 Granite Rock Way
San Jose, CA 95136

**Executive Vice President,
Aggregate Division**

Jack Leemaster
350 Technology Drive
Watsonville, CA 95076

**Executive Vice President,
Material Plants Division**

Rich Sacher
350 Technology Drive
Watsonville, CA 95076

**Vice President
Building Materials**

Gregory D. Diehl
350 Technology Drive
Watsonville, CA 95076

**Vice President
Human Resource Services**

Shirley Ow
350 Technology Drive
Watsonville, CA 95076

• Monterey County

• San Benito County

• San Mateo County

• Santa Clara County

• Santa Cruz County

• Alameda County

• City and County of San Francisco

**Vice President
Environmental,
Quality, Safety,
Geology**

Charles A. Johnston
350 Technology Drive
Watsonville, CA 95076

Material Supplier / Engineering Contractor
License #22



CERTIFICATION OF CORPORATE RESOLUTION



The directors of Granite Rock Company, a corporation organized and existing under the laws of the State of California, in an action by unanimous written consent of the directors, duly executed in accordance with the Articles of Incorporation and Bylaws of the corporation on March 9, 2013, resolved that:

Each of the following persons is fully authorized to sign bid and contract documents on behalf of Granite Rock Company, doing business as Pavex Construction Division, and to bind the corporation with respect to such documents:

Greg Diehl	Jack Leemaster
Shirley Ow	Kevin Jeffery
Rich Sacher	Rodney Jenny
Thomas Squeri	Steve Snodgrass
Aaron Johnston	Mark Kaminski

I, Kevin Jeffery, Vice President and Secretary of Granite Rock Company, do hereby certify that I am the Vice President and Secretary of said corporation, and that the above resolutions of the Board of Directors of said corporation were duly adopted by unanimous written consent of the directors, and that said resolutions have not been revoked or rescinded.

In witness whereof, I have hereunto subscribed my name and affixed the seal of said corporation.

Dated: 1/31/14



Kevin Jeffery
Vice President and Secretary

- Monterey County
- San Benito County
- San Mateo County
- Santa Clara County
- Santa Cruz County
- Alameda County
- City and County of San Francisco

Material Supplier / Engineering Contractor
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