

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROJECT BUSINESS MATTERS
Third Cycle AB 1012 Unobligated RSTP,
CMAQ, and Regional TEA "Use It or Lose It"
Balance Report

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Original Signed By:
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**THIRD CYCLE AB 1012 UNOBLIGATED RSTP, CMAQ, AND REGIONAL TEA
"USE IT OR LOSE IT" BALANCE REPORT**

BACKGROUND

AB 1012 was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. A section of the legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year. The Department is including Regional TEA (R-TEA) funds under the AB 1012 "use it or lose it" requirements. In addition, the Department is required to submit quarterly progress reports on impacted fund balances to the Commission.

UPDATED AB 1012 UNOBLIGATED BALANCE REPORT

The annual notice to regional agencies for the third cycle of AB 1012 "Use It or Lose It" provisions was released on December 3, 2001. At that time, third cycle RSTP, CMAQ, and Regional Transportation Enhancement Activity (TEA) funds subject to potential reprogramming on December 3, 2002 totaled approximately \$184.5 million.

The July 30, 2002 balance reports show total funds subject to reprogramming for the third cycle of AB 1012 are approximately \$105.8 million. This is made up of approximately \$39.1 million in CMAQ funds for eight separate agencies, \$58.9 million in RSTP funds for three agencies, and \$7.9 million in Regional TEA funds for 20 agencies. This is an overall reduction of \$78.7 million, or approximately 42.7 percent, from the initial third cycle notification. The largest reduction occurred in RSTP funds.

Regions with Cycle 3 balances subject to reprogramming were required to submit obligation plans to the Department by June 3, 2002. Obligation plans were received by all agencies having Cycle 3 balances with the exception of Inyo and Mono Counties. Based on the obligation plans, all Cycle 3 balances will be obligated by the December 3, 2002 deadline.

Information on RSTP, CMAQ and Regional TEA balances as of July 30, 2002, by region, is attached.

Attachment

APPORTIONMENT STATUS REPORT
as of July 30, 2002
Congestion Mitigation and Air Quality (CMAQ)

<u>Region</u>	<u>CMAQ Unobligated 7/31/2002 Delivery Balance</u> ¹	<u>CMAQ Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002</u> ²
Butte	1,532,188	481,646
Fresno	14,771,605	-
Kern	7,873,097	-
Kings	-	-
Los Angeles	28,531,908	-
Madera	-	-
Merced	2,264,872	-
Monterey	3,904,766	-
Orange	93,404,390	19,369,258
Riverside	44,859,665	10,020,825
Sacramento (SACOG)	4,297,003	-
San Benito	778,004	241,298
San Bernardino	48,282,924	6,041,737
San Diego	15,879,283	-
S.F. Bay Area (MTC)	(4,488,178)	-
San Joaquin	(955,637)	-
San Luis Obispo	-	-
Santa Barbara	9,586,034	1,477,657
Santa Cruz	2,482,485	-
Stanislaus	3,460,592	-
Tahoe	932,403	272,752
Tulare	8,044,879	1,202,026
Ventura	14,572,927	-
Rural Counties & SCAG	-	-
TOTAL	\$ 300,015,210	\$39,107,199

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

All Cycle 2 balances except Tahoe were cleared

Tahoe Cycle 2 balance was reprogrammed by the CTC at the July 2002 meeting. This amount is not included in the Cyc

APPORTIONMENT STATUS REPORT
as of July 30, 2002
Regional Surface Transportation Program (RSTP)

<u>Region</u>	<u>RSTP Unobligated 7/31/2002 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²</u>
Butte	-	-
Fresno	11,739,003	-
Kern	1,593,999	-
Kings	-	-
Los Angeles	157,881,323	-
Madera	6,295	-
Merced	1,376,593	-
Monterey	1,979,859	-
Orange	81,296,134	27,077,690 ³
Riverside	24,285,593	-
Sacramento (SACOG)	17,827,151	-
San Benito	-	-
San Bernardino	18,232,786	-
San Diego	87,126,758	30,941,155
S.F. Bay Area (MTC)	22,022,486	-
San Joaquin	1,937,376	-
San Luis Obispo	1,495,741	-
Santa Barbara	2,921,011	-
Santa Cruz	-	-
Stanislaus	3,687,559	-
Tahoe	-	-
Tulare	-	-
Ventura	6,172,200	-
Rural Counties & SCAG	3,520,551	868,189
TOTAL	\$ 445,102,418	\$ 58,887,034

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

³ Orange County's balance is a result of State use of Orange County CMAQ funds.

- Repayment was made with RSTP funds in cycle 2. Orange Co. Cycle 2 balance is \$9,561,946 and is now shown with Cycle 3 balance.

- Orange County must obligate cycle 2 and cycle 3 balances by 12/03/02.

Note: 'The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

APPORTIONMENT STATUS REPORT
as of July 30, 2002
Regional Transportation Enhancement Activities (TEA)

<u>Region</u>	R-TEA Unobligated 7/31/2002 Delivery Balance ¹	R-TEA Amount Subject to AB 1012 Reprogramming Cycle 3 <u>12/03/2002</u> ²
Butte	-	-
Fresno	2,387,749	-
Kern	58,419	-
Kings	367,329	-
Los Angeles	23,136,847	-
Madera	-	-
Merced	-	-
Monterey	1,727,960	415,540
Orange	6,607,835	215,208
Riverside	4,935,872	555,942
Sacramento (SACOG)	1,848,189	-
San Benito	178,892	-
San Bernardino	8,386,097	2,324,019
San Diego	5,112,722	-
S.F. Bay Area (MTC)	19,194,406	1,140,285
San Joaquin	446,703	-
San Luis Obispo	1,365,982	47,852
Santa Barbara	2,100,753	547,905
Santa Cruz	965,199	193,642
Stanislaus	534,369	-
Tahoe	146,000	-
Tulare	1,781,304	263,006
Ventura	1,205,235	-
Rural Counties & SCAG	8,703,224	2,150,832
TOTAL	\$91,191,086	\$7,854,231

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

All Cycle 2 balances cleared with the exception of Inyo and Mono

APPORTIONMENT STATUS REPORT as of July 30, 2002 Regional Surface Transportation Program (RSTP) for Rural Counties

<u>Region</u>	<u>RSTP Unobligated 7/31/2002 Delivery Balance ¹</u>	<u>RSTP Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²</u>
Rural County Information:		
Del Norte	-	-
Humboldt	19,000	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	59,043	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	13,926	-
El Dorado	42,496	-
Glenn	51,439	-
Nevada	-	-
Placer	-	-
Sierra	-	-
Inyo	8,004	-
Mono	-	-
Alpine	-	-
Amador	-	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	3,326,643	868,189
Rural Combined Totals:	\$3,520,551	\$868,189

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

All Cycle 2 Rural Agency balances have been cleared

APPORTIONMENT STATUS REPORT
as of July 30, 2002
Regional Transportation Enhancement Activities (TEA) for Rural Counties

<u>Region</u>	R-TEA Unobligated 7/31/2002 Delivery Balance ¹	R-TEA Amount Subject to AB 1012 Reprogramming Cycle 3 12/03/2002 ²
Rural County Information:		
Del Norte	212,406	37,195
Humboldt	1,069,324	337,732
Lake	343,954	42,205
Mendocino	191,005	-
Lassen	650,580	209,203
Modoc	-	-
Plumas	373,533	98,704
Shasta	30,683	-
Siskiyou	457,196	-
Tehama	-	-
Trinity	(238,530)	-
Colusa	-	-
El Dorado	196,598	-
Glenn	-	-
Nevada	133,295	-
Placer	37,375	-
Sierra	-	-
Inyo	1,602,130	456,111
Mono	1,169,389	334,171
Alpine	-	-
Amador	333,280	97,673
Calaveras	-	-
Mariposa	193,853	45,285
Tuolumne	467,350	149,363
Imperial (SCAG)	1,479,803	343,190
Rural Combined Totals:	\$8,703,224	\$2,150,832

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

³ Inyo Project 5948(028) obligated \$571,683 on 6/28/02

Cycle 2 balances for Inyo and Mono were reprogrammed by the CTC at the July 2002 meeting.

APPORTIONMENT STATUS REPORT

as of July 30, 2002

Congestion Mitigation and Air Quality (CMAQ) for Rural Counties

<u>Region</u>	CMAQ Unobligated 7/31/2002 Delivery Balance ¹	CMAQ Amount Subject to AB 1012 Reprogramming Cycle 3 <u>12/03/2002</u> ²
Rural County Information:		
Del Norte	-	-
Humboldt	-	-
Lake	-	-
Mendocino	-	-
Lassen	-	-
Modoc	-	-
Plumas	-	-
Shasta	-	-
Siskiyou	-	-
Tehama	-	-
Trinity	-	-
Colusa	-	-
El Dorado	-	-
Glenn	-	-
Nevada	-	-
Placer	-	-
Sierra	-	-
Inyo	-	-
Mono	-	-
Alpine	-	-
Amador	-	-
Calaveras	-	-
Mariposa	-	-
Tuolumne	-	-
Imperial (SCAG)	-	-
Rural Combined Totals:	\$0	\$0

¹ Indicates all apportionments not yet obligated.

² Reflects bal. 2-years old entering 3rd year.

- Cycle 3 assumes the use of all cycle 2 balances.

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.