

MAP-21 FACT SHEET

PREPARED BY: GREGG MAGAZINER, District Coordinator
Project Management
Phone: (916) 654-2942; Fax: (916) 654-5881; e-mail: Gregg.Magaziner@dot.ca.gov

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SUBJECT: MAP-21: 120-Day Reporting

PROBLEM STATEMENT:

MAP-21 requires a report every 120 days on the status and progress of projects and activities funded under this title (Subtitle C – Acceleration of Project Delivery, Section 1306 – Accelerated Decision-making), with respect to compliance with NEPA, that require an annual financial plan under Section 106(i), along with a sample of not less than 5 percent of the projects requiring preparation of an environmental impact statement (EIS) or environmental assessment (EA). Specific project information to be reported is not identified in MAP-21 and no criteria regarding the selection of the additional 5% of projects requiring an EIS or EA is provided. The first report is due no later than 120 days from the enactment of MAP-21, which is October 1, 2012.

RECOMMENDATION:

It is recommended that the Department forward to FHWA, as a way of meeting the MAP-21 120-day reporting requirement, a copy (or filtered copy) of the “Major Project Financial Plan Tracking” report for projects greater than \$500 million (see sample on the last page) that is currently being provided to FHWA on a quarterly basis. The additional projects (5% of projects requiring an EIS or EA is provided) to be included will be selected by the Chief Engineer, or his/her designee.

BACKGROUND:

The Department currently provides FHWA, on a quarterly basis, with a “Major Project Financial Plan Tracking” report for projects greater than \$500 million. FHWA was contacted in August 2012, who indicated that the format (with minor modifications as originally agreed to) for the existing report is acceptable for MAP-21 reporting purposes. No additional information requirement for MAP-21 was identified. It is possible, however, that the information contained in the “Major Project...” report is more extensive than what is needed to meet MAP-21 reporting requirements. Future discussions may lead to a “filtered” version of the report, eliminating extraneous information.

Because there is already a report format and a reporting process in place, the only effect on the Department will be to gather project information for the additional 5% of projects requiring an EIS or EA. This effort should be minimal. It is anticipated that these additional projects will be those on which the Department is the implementing agency. Therefore, there should be no impact on local agencies. No California Statute is impacted or required.

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ALTERNATIVES:

Alternative 1: Forward to FHWA, as a way of meeting the MAP-21 120-day reporting requirement, a copy (or filtered copy) of the “Major Project Financial Plan Tracking” report for projects greater than \$500 million that is currently being provided to FHWA on a quarterly basis. The additional projects (5% of projects requiring an EIS or EA is provided) to be included will be selected by the Chief Engineer, or his/her designee.

Pros: Minimizes Departmental effort and avoids duplication by utilizing existing processes and reports to meet MAP-21 120-day reporting requirements. It also provides to FHWA with the data the Department believes meets MAP-21 120-day reporting requirements.

Cons: May not meet MAP-21 120-day reporting requirements, as FHWA has not yet established what data are required.

Alternative 2: Wait for FHWA to dictate to the Department what reporting information and report format is required to meet MAP-21 reporting requirements.

Pros: Eliminates “guesswork” as to what FHWA wants reported.

Cons: Potentially requires collecting new and different information, which may not currently exist, and a new information-gathering and reporting process to be created. Additionally, creating and getting agreement to a new report format may take time and impact the ability for timely reporting.

PROPOSED IMPLEMENTATION SCHEDULE:

Not later than January 2013.

Sample

Major Project Financial Plan Tracking (Greater than \$500 million) Report

FMIS ID	California Major Project	Draft IFP Received	Date Certify	IFP Approved by FHWA	IFP Amount (Billion \$)	Current Amount (Billion \$)	2011 Annual Update				2012 Annual Update				2013 Annual Update			
							As Of Date	Due Date	Received	Approved by FHWA	As Of Date	Due Date for Draft Doc	Received	Approved by FHWA	As Of Date	Due Date for Draft Doc	Received	Approved by FHWA
44	BART Seismic Retrofit	5/15/07	2/28/08	3/12/08	1.307	1.222	8/3/11	11/30/11	1/31/12	returned*	8/30/12	10/30/12						
30	I-15 Managed Lanes, SR 163-SR 78	6/15/07	4/10/08	4/22/08	1.096	0.985	2/28/11	5/28/11	4/7/11	5/24/11	2/28/12	4/28/12	5/1/12	returned**				
47	I-215 North Corridor Project California	6/29/07	10/12/07	11/1/07	0.676	0.601	6/30/11	9/1/11	12/15/11	returned	6/30/2012	9/1/2012						
66	I-405 Sepulveda Pass Widen and HOV	4/15/09		4/22/09	0.950	1.034	6/30/11	9/30/11	*****		6/30/12	8/30/12						
68	I-5 HOV North (SR-134 to SR-118)	12/7/07		12/31/08	0.931	0.850	10/30/11	12/30/11	combine with 2012 ²		10/30/12	12/30/12						
7	I-80/San Francisco-Oakland Bay Bridge ESSSP			12/1/01	4.637	6.451	9/30/11	12/30/11	2/2/12	6/8/12	9/30/12	11/30/12						
106	I-805 North Managed and HOV lanes	7/12/11	2/28/12	3/13/12	0.540	0.540	NA				6/30/12	8/30/12			2/28/13	4/30/13		
107	Marin-Sonoma Narrows	10/12/11	4/18/12	6/26/12	0.670	0.670	NA				6/30/12	8/30/12						
51	Doyle Drive - Presidio Parkway Project Phase 1	1/18/09		5/14/09	0.879	0.885	6/30/11	9/30/11	combine with 2012		6/30/12	8/14/12						
51	Doyle Drive - Presidio Parkway Project Phase 2	7/23/12				NA									6/14/13	8/14/13	verify due date in POI	
50	SR 52 Extension, Santo Rd-SR 67 BUILT	12/15/07		4/1/08	0.600	0.521	7/1/11	10/1/11	9/21/11	11/9/11	Built							
31	SR 905, I-805 to Otay Mesa POE	6/15/07	1/24/08	1/31/08	0.619	0.622	1/31/11	4/30/11		6/23/11	1/31/12	4/30/12	12/8/11	Returned**				
108	SR 4 (East) Widening Project	8/21/09		9/23/09	0.600	0.564	6/30/11	9/30/11	10/27/11	2/13/12	6/30/12	9/1/12						
104	SR 47 Gerald Desmond Bridge	8/15/11	7/9/12	7/13/12	0.960	1.015	Dropped out of Major Project status											
105	I-80/I-680/SR-12 Interchange Project	5/11/12			0.596	0.596	NA											
	SR 11 and Otay Mesa East Port of Entry	PMP under review*																
	SR 91 Corridor Improvement Project	Draft PMP comments sent to Caltrans 8/8/12																
		1 - conditional concurrence for 2010, conditions NOT yet met (see 2).																
		2 - 2010 approval condition requires only 2011 "current estimated cost" submittal (chapter 2) - past due 8/8/12, red as this was a hard deadline.																
	green - past due, but less than 4 months	* FHWA HQ review ongoing																
	yellow - 4 to 11 months past due (or**)	** Returned w/ comments, Caltrans has resubmitted																
	red - 1 year or more past due (or***)	*** FHWA approval letter being drafted																
		**** PMP and IFP received and comments back to Caltrans, process delayed with invitation for Port to apply for TIFIA loan. (re-work req'd).																
		***** Will request combined 2010 2011 document, letter drafted																